



UNITED STATES  
SECURITIES AND EXCHANGE COMMISSION  
STATION PLACE  
100 F STREET, NE  
WASHINGTON, DC 20549-2465

Office of FOIA Services

August 31, 2021

Ms. Pam K. Martens  
Wall Street On Parade  
PO Box 541986  
Lake Worth, FL 33454

RE: Freedom of Information Act (FOIA), 5 U.S.C. § 552  
Request No. **21-02127-FOIA**

Dear Ms. Martens:

This letter is in response to your request, dated and received in this office on June 17, 2021, for "the names of any family offices or hedge funds or investment-related firms that received SEC permission to confidentially file their 13F forms during the period of January 1, 2015 through June 16, 2021." Reference is also made to our letter dated June 17, 2021, in which we addressed your request for a fee waiver.

Form 13F filings are publicly available on the SEC's website at: <https://www.sec.gov/edgar/search/>. You can access the information by using the following instructions to navigate the webpage:

1. Expand "more search options";
2. Under "Company name, ticker, CIK number or individual's name," type in the name (or other listed identifier) of the entity you want to research;
3. Next to "Filing types," click "Browse filing types";
4. Select "13F-HR";
5. In the fields to the right, identify the time period you're interested in; and
6. Execute the search and review any 13Fs that are found

Please note that you can search for different types of filings (e.g., Form 10-K) by searching in the "Filing Type" box in the "Filter Results" area. You may also visit the [SEC's public EDGAR page](#) for additional information and instructions for searching the EDGAR database.

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If you have any questions, please contact me at [mandicf@sec.gov](mailto:mandicf@sec.gov). You may also contact me at [foiapa@sec.gov](mailto:foiapa@sec.gov) or (202) 551-7900. You may also contact the SEC's FOIA Public Service Center at [foiapa@sec.gov](mailto:foiapa@sec.gov) or (202) 551-7900. For more information about the FOIA Public Service Center and other options available to you please see the attached addendum.

Sincerely,

A handwritten signature in cursive script that reads "Frank Mandic".

Frank Mandic  
FOIA Research Specialist

Enclosure

## ADDENDUM

For further assistance you can contact a SEC FOIA Public Liaison by calling (202) 551-7900 or visiting <https://www.sec.gov/oso/help/foia-contact.html>.

SEC FOIA Public Liaisons are supervisory staff within the Office of FOIA Services. They can assist FOIA requesters with general questions or concerns about the SEC's FOIA process or about the processing of their specific request.

In addition, you may also contact the Office of Government Information Services (OGIS) at the National Archives and Records Administration to inquire about the FOIA dispute resolution services it offers. OGIS can be reached at 1-877-684-6448 or via e-mail at [ogis@nara.gov](mailto:ogis@nara.gov). Information concerning services offered by OGIS can be found at their website at [Archives.gov](http://Archives.gov). Note that contacting the FOIA Public Liaison or OGIS does not stop the 90-day appeal clock and is not a substitute for filing an administrative appeal.