

FORM A

Federal Reserve Bank of Dallas

CONFIDENTIAL FINANCIAL DISCLOSURE REPORT

Reporting Status <i>(Check Appropriate Box)</i>	<input type="checkbox"/> Incumbent <input checked="" type="checkbox"/> New Filer <input checked="" type="checkbox"/> Termination Filer	Calendar Year Covered By Report 1/1/2016-12/31/2016	Date of Employment 9/8/2015	Termination Date (if applicable)	<p>Reporting Period</p> <p>Incumbents: The reporting period is the preceding calendar year except Part II of Schedule C and Schedule D where you must also include the filing year up to the date you file.</p> <p>Termination Filers: The reporting period begins at the end of the period covered by your previous filing and ends at the date of termination.</p> <p>New Filers:</p> <p>Schedule A – The reporting period is the preceding calendar year and the current calendar year up to the date of filing.</p> <p>Schedule B – Not applicable.</p> <p>Schedule C, Part I (Liabilities) – The reporting period is the preceding calendar year and the current calendar year up to any date you choose that is within 31 days of the date filing.</p> <p>Schedule C, Part II (Agreement or Arrangement) – Show any agreement or arrangement as of the date of filing.</p> <p>Schedule D – The reporting period is the preceding two calendar years and the current calendar year up to the date of filing.</p>
Last Name		First Name and Middle Initial			
KAPLAN		ROBERT S.			
Position				Telephone No. <i>(Include Area Code)</i>	
President and Chief Executive Officer					
Certification	Signature of Reporting Individual		Date		
I CERTIFY that the statements I have made on this form and all attached schedules are true, complete and correct to the best of my knowledge and belief.	[REDACTED]		[REDACTED]		
Ethics Officer's Review	Signature of Ethics Officer		Date		
I CERTIFY that I have reviewed the information contained in this report.	[REDACTED]		[REDACTED]		
Comments of Ethics Officer <i>(If additional space is required, use the reverse side of this sheet)</i>					

Check box if comments are continued on the reverse side

34	RTIX	E	x		Multiple		x			
35	S & P Index Futures	E	x		Multiple					x
36	S & P Index Futures	E		x	Multiple					x
37	Sensata	E	x		Multiple					x
38	Sensata	E		x	Multiple					x
39	Constellation Brands	E	x		Multiple		x			
40	Transdigm	E	x		Multiple					x
41	Transdigm	E		x	Multiple					x
42	Tenet Healthcare	E	x		Multiple					x
43	Tenet Healthcare	E		x	Multiple					x
44	Total	E	x		Multiple					x
45	Total	E		x	Multiple					x
46	UPRO	E		x	Multiple					x
47	Vanguard Short Term Money Fund	E	x		Multiple					x
48	Vanguard Short Term Money Fund	E		x	Multiple					x
49	Valero Energy	E	x		Multiple					x
50	Valero Energy	E		x	Multiple					x
51	Utility ETF XLU	E	x		Multiple					x
Alternative Assets: Redemptions/Private Sales										
1	Indaba Capital Partners – Final Liquidation	E		x	Multiple					x
2	New Asurion Private Shares	E		x	Multiple					x
3	GS Private Equity Fund 2000 Runoff	E		x	Multiple			x		

Part II: Gifts

To the extent not previously reported in writing, report the source, a brief description, and the value of any gifts, as defined in the instructions, received by you, your spouse or dependent child from one source and totaling \$250 or more. Exclude gifts from relatives, gifts valued at

\$100 or less when aggregating gifts for the total from one source, and gifts received by your spouse or dependent child that were given totally independent of the relationship to you. See the instructions for further exclusions.

None

	Source (Name and Address)	Brief Description	Value
1			
2			
3			
4			

Part I: Liabilities

None

Report any liability owed during the reporting period to any of the following by you, your spouse or dependent child: a bank, credit union, savings and loan association, savings bank, trust company, bank holding company, thrift holding

company, an affiliate or subsidiary of any of the foregoing, and any entity which, to your knowledge, does or seeks to do business with the Bank. See the instructions for certain exclusions.

Creditor (Name and Address)		Type of Liability	D E B T O R	ID-RSSD	Category of Amount or Value (x)				
					\$0 - \$50,000	\$50,001 - \$150,000	\$150,001 - \$500,000	\$500,001 - \$1,000,000	Over \$1,000,000
Example:	First District Bank, Washington, DC	Mortgage on rental property, Delaware	J		X				
1	Mortgage Wells Fargo	Cambridge, MA - Home					X		
2									
3									
4									
5									
6									
7									

To your knowledge, were any of the foregoing extensions of credit made on terms more favorable than offered to a person not employed by the Bank? Yes No If so, explain.

Part II: Agreements or Arrangements

Report any agreement or arrangement for future employment, leave of absence from or continuation of payment by a former employer (including severance payments), or continuing

participation in an employee benefit plan. This part applies only to the person filing the report. See instructions regarding the reporting of negotiations for any of these arrangements or benefits.

None

	Status and Terms of any Agreement or Arrangement	Parties	Date
Example:	Pursuant to partnership agreement, will receive lump sum payment of capital account & partnership share calculated on service performed through 11/93 and retained pension benefits (independently managed, fully funded, defined contribution plan)	Doe Jones & Smith, Hometown, USA	7/85
1	None that I am aware of – Other than 401K and IRA		
2			
3			