## FORM A Federal Reserve Bank of Dallas CONFIDENTIAL FINANCIAL DISCLOSURE REPORT

Reporting Status (Check Appropriate Box)	_ Incumbent _ New Filer_	Calendar Year Covered By Report	Date of Employment	Termination Date (if applicable)	Reporting Period
(Check Appropriate Box)	- Termination Filer-	1/1/2016-12/31/2016	9/8/2015		Incumbents: The reporting period is the preceding calendar year except Part
Last Name			First Name and Middle Initial		II of Schedule C and Schedule D where you must also include the filing year up
KAPLAN			ROBERT S.		to the date you file.  Termination Filers: The reporting period begins at the end of the period
Position				Telephone No. (Include Area Code)	covered by your previous filing and ends at the date of termination.
President and Chief B	Executive Officer			1	New Filers:
					Schedule A – The reporting period is the preceding calendar year and the
Certification		Signature of Reporting Individual		Date	current calendar year up to the date of filing.
I CERTIFY that the s	tatements I have made				Schedule B – Not applicable.
	ttached schedules are orrect to the best of my f.				Schedule C, Part I (Liabilities) – The reporting period is the preceding calendar year and the current calendar year up to any date you choose that is
Ethics Officer's Revie	ew	Signature of Ethics Officer	/	Date	within 31 days of the date filing.
I CERTIFY that I hav	re reviewed the		7		Schedule C, Part II (Agreement or Arrangement) – Show any agreement or arrangement as of the date of filing.
information contained	d in this report.				Schedule D - The reporting period
Comments of Ethics	Officer /If additional spec	ce is required, use the reverse side of this	is shoot!		is the preceding two calendar years and the current calendar year up to the date
Comments of Lunes	Officer (if additional space	se is required, use the reverse side of this	3 3/1661)		of filing.
				Check box if comments a	re continued on the reverse side

Reporting Individual's Name							SCHEDULE A												Calendar Year Covered						Page Number 2				
	Assets and Income Value of Assets Income: type and amount. If "None (or less than \$201)" is checked, no other																												
		Assets and Incom	ne			A	t clo	se o	of									If "None (or les or that item.	s th	an \$	201	)" is	s ch	ecke	ed, no other				
	Assets and Income  Block A  fy each asset held for the production of income, which fair market value exceeding \$1,000 at the close of the ting period.  the instructions for special rule for reporting an interest pository institution and other entities regardless of valuation and experiod of the company of					Block B											Block C												
had a fair market value exceeding \$1,000 at the reporting period.  See the instructions for special rule for reporting a depository institution and other entities regarded.		ne close of the		han \$1,001)	00	\$250,000	0000	000,000	00		Ities				уре		Other	n \$201)		Amo		- \$500,000		Date (Mo., Day,					
Ident	Assets an  Block  Ify each asset held for the product fair market value exceeding \$1 thing period.  If the instructions for special rule foository institution and other entitify each asset or source of inco \$200 in income during the reportant product in the instructions for special rule foository institution and other entitify each asset or source of inco \$200 in income during the reportant product in the instructions of the instruction of the instructions of th	sset or source of income, which	generated	OWNER	None (or less than	\$1,001 - \$50,000	\$50,001 - \$250	\$250,001 - \$500,000	\$500,001 - \$1,000,000	Over \$1,000,000	Dividends	Rent and Royalties	Interest	Capital Gains	Excepted Investment Fund	Excepted Trust	Qualified Trust	(Specify Type)	None (or less than \$201)	\$201 - \$1,000	\$ 1,001 - \$25,000	\$25,001 - \$150,000	\$150,001 - \$50	Over \$500,000	Yr.) Only if Honoraria				
	Central Airlines Common S			x					х										х				li li						
Exa	amples:	Doe Jones & Smith, Ho	metown, USA	Е														Law Partnership Income				x							
		Kempstone Equity Fund	d	Е			х								х						x								
1	Apple	1		E						х	X													х					
2	Allerga	in		Е						х																			
3	Alibaba	а		E						х	X														*				
4	BP			E						х	X												11 118	х					
5	Chevro	on		E						х	Х													х					
6	Delta A	Airlines		Е						x	Х													х					
7	Ford N	Notor		Ε						х	х													х					
8	Gilead			Е						х	х												х						
9	Hess C	Dil		E						х						Г													
10	Kroger			Е	Г					×																			
11	Philip I	Morris		Е						х	х						(	l <sub>a</sub>						х					
12	S&P Ir	ndex Futures		E						х			- 9																
13 Transdigm Group		digm Group	A-186	E						х	Х													-					
14	Total			Ε						х	Х													Х					
15	Tyson	Foods		Е						х	х		T Y					-					-						
16	UPRO	S&P 500		Е						Х	Х											Х							
17	Valero	Energy		Е						Х	х													X					

18	XLU (Utility ETF)	E					Х	Х								Х		
19	Blackrock Floating Rate Income Trust	Е			$\neg$		Х	Х									Х	
20	Ishares Floating Rate Bond ETF	E					Х	Х									Х	
21	Blackrock Floating Rate Income Strategies Fund	E					Х	Х									Х	
22	Vanguard Short Term Money Market Fund	E					Х	Χ							х			
24	Bank Deposit	E				Х								x				
25	GS Financial Square Money Market Fund	Е		Х										х				
	Alternative Assets																	
1	Alclear Holdings	E		х														
2	Big Rub BBQ – Private Shares	E		х														
3	Exchange Place LP	Е					х				$\top$		Т					
4	Flex Pharma Inc. – Private Shares	E					Х											
5	Foodie Direct - Private Shares	E		X			1											
6	Glen Capital Partners **	E					Х											
7	Pryor Cashman – Private Shares	E	Х															
1	Cambridge, MA Real Estate	Е		х														

Repo	rting Individual's Name	New Filer (Schedule Not Applicable )	SCHEDULE B					Calendar	Year	Cover	ed	Pag	je Num 3	nber
		(estreade Net Applicable )						1						
Pa	rt I: Transactions												None	e 🗆
Report any purchase, sale or exchange by you, your spouse or dependent child during the reporting period of any real estate, stocks, bonds, commodity futures and other securities when spouse or dependent child. Check the "Required divestiture"									Am	ount o	f Trans	saction	(X)	
stock the a		securities when spouse or dependent child. Check the "Required divestiture" block to indicate sales made pursuant to a divestiture required by the Bank.				Sale (x	Exchange	Date (Mo., Day, Yr.)	\$1,000 -	\$50,001 - \$250,000	\$250,001 -	\$500,001 - \$1,000,000	Over \$1,000,000	Required divestiture
		Identification of Ass	ets	_	Purchase	Ś	ú	0.44.000	-	8 8	8 8	9 69	0 %	₩ 5
Exan				S	X			2/1/93 Multiple	X	_			v	
2	Apple Apple			E	Х	X		Multiple	$\vdash$				X	-
3	Allergan	N		E	х	^		Multiple	$\vdash$				X	
4	Allergan		-	E	-	х		Multiple					Х	
5	Alibaba			E	X			Multiple					Х	
6	Alibaba			Е		Х		Multiple					Х	
7	Bed, Bath & Beyond			E		Х		Multiple		X				
8	Bright Horizons			E	L	X		Multiple		X				
9	Blackrock Floating Rate Incom	e Trust		E	Х			Multiple	$\sqcup$				Х	
10	British Petroleum			E	X		_	Multiple					Х	
11	British Petroleum			E		X		Multiple					Х	
12	Chevron Chevron			E	Χ	.,		Multiple	-				X	
13	Community Health Systems			E	Х	Х		Multiple Multiple	-				X	
15	Community Health Systems			E	\ \	х		Multiple					X	
16	Delta Airlines			E	Х	^		Multiple	-				x	
17	Delta Airlines			E	- A	x		Multiple					X	$\overline{}$
18	Emerging Market ETF			E		X		Multiple		Х		-		
19	Ford Motor			E	Х			Multiple					х	
20	Ford Motor			E		х		Multiple					Х	
21	Ishares Floating Rate Bond ET			E	Х			Multiple					Х	
22	Blackrock Floating Rate Incom	e Strategies Fund		E	Х			Multiple					Х	1
23	Gilead			E	X			Multiple						
24	Gilead			E	11	X		Multiple					Х	
25	Hess			E	Х	-		Multiple	-		<u> </u>		Х	
26	Hess			E	-	X		Multiple	-		-		Х	
27	JC Penny Michael Kors			E	-	X		Multiple	+	X	-		V	
29	Kroger			E		X	-	Multiple Multiple	+-	X	+-		X	
30	Morningstar			E	1	X		Multiple	+				X	
31	Phillip Morris			E	x	_		Multiple	+		1		X	
32	Phillip Morris			E	^	х		Multiple	-			<u> </u>	x	
33	Quorum Healthcare			E		X		Multiple		-			X	

34	RTIX			E	х	П	Multiple	X			
35	S & P Index Futures			E	х		Multiple			X	
36	S & P Index Futures			E		Х	Multiple			X	
37	Sensata			E	Х		Multiple			X	
38	Sensata			E		Х	Multiple			Х	
39	Constellation Brands			E	Х		Multiple	X			
40	Transdigm			E	X		Multiple			X	
41	Transdigm			Е		Х	Multiple			Х	10210
42	Tenet Healthcare			Е	Х		Multiple			Х	
43	Tenet Healthcare			E		Х	Multiple			X	
44	Total			E	Х		Multiple			Х	
45	Total			E		X	Multiple			X	
46	UPRO			E		Х	Multiple			X	
47	Vanguard Short Term Money Fund			E	Х		Multiple			Х	
48	Vanguard Short Term Money Fund			E		Х	Multiple			X	
49	Valero Energy			E	Х		Multiple			X	
50	Valero Energy			E		X	Multiple			X	
51	Utility ETF XLU			E	Х		Multiple			X	
	Alternative Assets: Redemptions/Private Sales						,				
1	Indaba Capital Partners - Final Liquidation			E		х	Multiple			X	
2	New Asurion Private Shares			Е		X	Multiple			X	
3	GS Private Equity Fund 2000 Runoff			Е		Х	Multiple		X		
To th	rt II: Gifts e extent not previously reported in writing, report the source, a brief of any gifts, as defined in the instructions, received by you, your sp from one source and totaling \$250 or more. Exclude gifts from relati	ouse or dependent	\$100 or less when aggregating gif by your spouse or dependent chilk relationship to you. See the instru	that	were	given to	stally independent of	fts rece f the	eived	No	ne 🗆
	Source (Name and Address)		Brief Des	script	ion					V	alue
1											
2			=							_	
3											

Reporting In	dividual's Name		SCHEDULE C	C	alendar Year	ed	Page	ber		
									-	
	Liabilities		None □				_			
ou, your sp	oouse or dependent child: a b	ing period to any of the following by ank, credit union, savings and loan bank holding company, thrift holding	company, an affiliate or subsidiary of any of the foregoing, a entity which, to your knowledge, does or seeks to do business was Bank. See the instructions for certain exclusions.			1		itegory it or Va		)
ssociation,	Savings bank, trust company, t	ank holding company, timit holding		D E B	ID-RSSD	00	100	01 -	- 100	
	Creditor (Name a	nd Address)	Type of Liability	O R		\$50,000	\$50,001 - \$150,000	\$150,001 - \$500,000	\$500,001 - \$1,000,000	Over
Example:	First District Bank, Washingto	on, DC	Mortgage on rental property, Delaware	J			х			
	rtgage Wells I	argo	Cambridge, MA - Home						Х	
5										
				1						
o your kno	wledge, were any of the forego	ing extensions of credit made on terms	s more favorable than offered to a person not employed by the Ban	nk? Y YestY	No If so, exp	olain.				
Part II:	Agreements or	Arrangements	,							
		future employment, leave of absence t					the			
ontinuation	of payment by a former emplo	yer (including severance payments), o	report. See Instructions regarding the reporting of arrangements or benefits.	negotiations	for any of the	ese			Nor	ıе[
		Status and Terms of any Agree	ement or Arrangement		Parties	5			Da	ate
xample:	Pursuant to partnership agree performed through 11/93 and	ment, will receive lump sum payment retained pension benefits (independer	of capital account & partnership share calculated on service ntly managed, fully funded, defined contribution plan)	Doe Jones	& Smith, Hor	netown	ı, USA		7/	85
	ne that I am	aware of - Oth	er than 401K and IRA							
2										
3								$\neg$		