FORM A Federal Reserve Bank of Dallas CONFIDENTIAL FINANCIAL DISCLOSURE REPORT

Reporting Status (Check Appropriate Box)	_ Incumbent _ New Filer	Calendar Year Covered By Report	Date of Employment	Termination Date (if applicable)	Reporting Period
(enest appropriate box)	_ Termination Filer	9/8/2015 -12/31/2015	9/8/2015		Incumbents: The reporting period is the preceding calendar year except Part II of Schedule C and Schedule D where you must also include the filing year up
Last Name			First Name and Middle Initial		to the date you file.
KAPLAN			ROBERT S.		Termination Filers: The reporting period begins at the end of the period covered by your previous filing and ends at the date of termination.
Position				Telephone No. (Include Area Code)	New Filers:
President and Chief E	Executive Officer				Schedule A – The reporting period is the preceding calendar year and the current calendar year up to the date of filing.
Certification		Signature of Reporting Individual		Date	Schedule B – Not applicable.
on this form and all at	tatements I have made ttached schedules are prrect to the best of my				Schedule C, Part I (Liabilities) – The reporting period is the preceding calendar year and the current calendar year up to any date you choose that is within 31 days of the date filing.
Ethics Officer's Revie	w	Signature of Ethics Officer		Date	Schedule C, Part II (Agreement or Arrangement) – Show any agreement
I CERTIFY that I have information contained					or arrangement as of the date of filing. Schedule D – The reporting period is the preceding two calendar years and the current calendar year up to the date
Comments of Ethics	Officer (If additional space	ce is required, use the reverse side of this	s sheet)		of filing.
				Check box if comments a	re continued on the reverse side

Repo	orung Indivi	dual's Name						S	СН	ED	UL	E.	Α										overe 31/20		Page Number 2
		Assets and Incor	me			A.	t clo	f As se o	of									If "None (or les or that item.	s th	an \$	\$201	l)" is	s che	ecke	ed, no other
		Block A					Bloc	k B										Block C							
had		set held for the production of it et value exceeding \$1,000 at the			\$1,001)				8					П		урє)				Amo	ount			Date
lden over	pository ins tify each as \$200 in ind	tions for special rule for reporting titution and other entities regards set or source of income, which come during the reporting periods.	rdless of value.	OSZER	None (or less than \$	\$1,001 - \$50,000	\$50,001 - \$250,000	\$250,001 - \$500,000	\$500,001 - \$1,000,000	Over \$1,000,000	Dividends	Rent and Royalties	Interest	Capital Gains	Excepted Investment Fund	Excepted Trust	Qualified Trust	Other (Specify Type)	None (or less than \$201)	\$201 - \$1,000	1,001 - \$25,000	\$25,001 - \$150,000	\$150,001 - \$500,000	Over \$500,000	(Mo., Day, Yr.) Only if Honoraria
NOI	ne Y	Central Airlines Commo	n .	S	_		69	69				-	_		шш	ш	-			()	↔	₩	4	0	
Ev	amples:	Doe Jones & Smith, Ho				Х	-		-		X			\vdash		-		Law Partnership			Х		\vdash	\square	
	ampies.	Kempstone Equity Fund		E								-		\vdash		-		Income				X		$\vdash\vdash$	
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3		Horizons		E			X	_				-							-		_			\vdash	1
4		llation Brands		E			-	-		X	_													\vdash	
5	Delta A			E	-		-		_	x	X	-							-		х			\vdash	
6		ing Market ETF		E						X	X								-		x	_			
7	Gilead			E		-			_	х	X					-					^	х			
8	Hess C	Dil		E	-		_			x											⊢	^			
9	JC Per	nney		E		\vdash	х		-							-					-				
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11	Kroger			E		-	-		_	х						H			-		-			\vdash	
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14		a Technologies		E					_	X	X								-		x			\vdash	
15		Healthcare		E				-	\vdash	х						-					_		\vdash	\vdash	
16		ligm Group		E		_				х	x					\vdash					х				
17	Tyson			E			-			X	х										X				

18	UPRO S&P 500	E				х										
19	Valero Energy	Е				х	x								X	
	Alternative Assets															
1	Alclear Holdings	E		x												
2	Ashe Capital Partners	E				×							Т			
3	Big Rub BBQ – Private Shares	Е		x		1			П		Г					
4	Exchange Place LP	Е				x										
5	Flex Pharma Inc Private Shares	E				х										
6	Foodie Direct - Private Shares	Е		x					П							
7	Glen Capital Partners **	E				х										
8	Indaba Capital Fund **	Е				х										
9	New Asurion - Private Shares	E			\top	х										
10	Pryor Cashman – Private Shares	Е	х													
1	Cambridge, MA Real Estate	E	+	x	+	_	-			0	-		-			

3 Chipolte 4 Chipolte E X Multiple X 4 Chipolte	Repo	orting Individual's Name	New Filer (Schedule Not Ap	plicable) \square		so	HEDULE B					9/8/201				Pag	ge Num 3	nber
Comparison Com	Pa	rt I: Transactions															None	e 🗆
Example Central Airlines Common S X Multiple C X X Multiple C X X Multiple C X X Multiple C X Multiple	depe stock the a	endent child during the reporting period of ss, bonds, commodity futures and other s amount of the transaction exceeded \$1,00	f any real estate, securities when 00. Include	estate, (unless rented out), or a transaction solely between you, your spouse or dependent child. Check the "Required divestiture" block to indicate sales made pursuant to a divestiture required by)	(Mo.,						ed ture
Apple			Identificati	on of Ass	ets				Purch	Sale	Excha	Day, Tr.y	\$1,000	\$50,00	\$500,0	\$500,00	Over \$1,000	Requir
2	Exar	nple: Central Airlines Common						S	X			2/1/93	х					
Section Sect	1	Apple						Е	X			Multiple					X	
A	2	Apple						Е		x		Multiple					X	
Society Soci	3	Chipolte						E	X			Multiple					X	
Silead	4	Chipolte						Е		х		Multiple					х	
Hess Oil	5	Gilead						Е	X			Multiple					Х	
B	6	Gilead						Е		х		Multiple					X	
9 S & P Index Futures	7	Hess Oil						Е	X			Multiple					х	
10 S & P Index Futures	8	Hess Oil						Е		X		Multiple					х	9 1
11 Sensata Technology	9	S & P Index Futures						Е	X			Multiple					X	
12 Sensata Technology	10	S & P Index Futures						Е		х		Multiple					x	
12 Sensata Technology E X Multiple X X 13 Tenant Healthcare E X Multiple X X 14 Tenant Healthcare E X Multiple X X 15 Valero Energy E X Multiple X X 16 Valero Energy E X Multiple X X 17 Multiple Mul	11	Sensata Technology						Е	X			Multiple		1		х	Ī.	
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Tenant Healthcare E X Multiple X X S Multiple X X Multiple X X Multiple X X Multiple X X A Multiple X X Multiple X X Multiple X X A Multiple X X Multiple X X Multiple X X A Multiple X X	13	Tenant Healthcare				-		_	X			Multiple					X	
15 Valero Energy	14	Tenant Healthcare		_		-		_		х		Multiple				х		
Valero Energy E X Multiple X	15	Valero Energy						_	х			Multiple					х	
Alternative Assets: Redemptions / Private Sales 1 Berkshire Investors III	16	Valero Energy						-		х		Multiple					х	
Berkshire Investors III Berkshire Investors IV Berkshire Fund IV Cambrian Global Partners Crestview Partners ** Energy Logistics – write off Finepoint Capital Partners ** Gracious Eloise Private c/s Indaba Capital Partners ** – ongoing quarterly redemptions								<u> </u>										
Berkshire Investors IV Berkshire Fund IV Cambrian Global Partners Crestview Partners ** Energy Logistics – write off Finepoint Capital Partners ** Gracious Eloise Private c/s Indaba Capital Partners ** – ongoing quarterly redemptions		Alternative Assets: Redemp	tions / Private	Sales					_		_							
Berkshire Investors IV Berkshire Fund IV Cambrian Global Partners Crestview Partners ** Energy Logistics – write off Finepoint Capital Partners ** Gracious Eloise Private c/s Indaba Capital Partners ** - ongoing quarterly redemptions X X X X X X X X X X X X X	1	Berkshire Investors III							_								х	
Berkshire Fund IV Cambrian Global Partners Crestview Partners ** Energy Logistics – write off Finepoint Capital Partners ** Gracious Eloise Private c/s Indaba Capital Partners ** – ongoing quarterly redemptions X X X X X X X X X X X X X	2	Berkshire Investors IV																
5 Crestview Partners ** 6 Energy Logistics – write off 7 Finepoint Capital Partners ** 8 Gracious Eloise Private c/s 9 Indaba Capital Partners ** – ongoing quarterly redemptions x x y y y y y y y y y y y y y	3	Berkshire Fund IV																
5 Crestview Partners ** 6 Energy Logistics – write off 7 Finepoint Capital Partners ** 8 Gracious Eloise Private c/s 9 Indaba Capital Partners ** – ongoing quarterly redemptions x x y y y y y y y y y y y y y	4	Cambrian Global Partners													\vdash		х	
6 Energy Logistics – write off 7 Finepoint Capital Partners ** 8 Gracious Eloise Private c/s 9 Indaba Capital Partners ** - ongoing quarterly redemptions x 10 North Manufacture Capital Forth Manufac	5	Crestview Partners **																
8 Gracious Eloise Private c/s 9 Indaba Capital Partners ** - ongoing quarterly redemptions x x x x x x x x x x x x x x x x x x x	6	Energy Logistics – write off											1					
8 Gracious Eloise Private c/s 9 Indaba Capital Partners ** - ongoing quarterly redemptions x	7	Finepoint Capital Partners **				144							1		\vdash		х	
9 Indaba Capital Partners ** - ongoing quarterly redemptions	8												x					
10 N. M. W. C. W. I. S. M.	9	Indaba Capital Partners ** - on	going quarterly	redemptio	ns												х	
	10	New Mountain Capital Fund **											1	-			-	

11 Sto	ckbridge Investors											
12 Win	ners LLC	·										
value of an	ent not previously reported in writing	g, report the source, a brief descripti is, received by you, your spouse or o ore. Exclude gifts from relatives, gifts	dependent by your spouse or dependent child	that were given to	tally inde	ependent o	fts recei	ved		N	lone	
Sour	ce (Name and Address)		Brief Des	cription							Valu	e
1												
2												
3												
4												
Report any	Liabilities y liability owed during the reporting	g period to any of the following by	None company, an affiliate or subsidiary of any of the entity which, to your knowledge, does or seeks to	e foregoing, and	any				Cate	egory	of	
	spouse or dependent child: a bai n, savings bank, trust company, ba	nk, credit union, savings and loan nk holding company, thrift holding	Bank. See the instructions for certain exclusions.	o do business with	E	ID-RS				\$150,001 - \$500,000	\$500,000 - 100,000,18	Over \$1,000,000
	Creditor (Name an	d Address)	Type of Liability		F		- 0\$	\$50,000	\$150	\$150	\$500	91,00
Example:	First District Bank, Washington	, DC	Mortgage on rental property, Delaware			J			х			
1 Mor	tgage Wells Fargo		Cambridge, MA - home		_	-			+		Х	_
3						-			+			
4								1/4	+			
5					+	+		+				
6												
7		-										

Pa	rt II: Agreements or Arrangements			
	ort any agreement or arrangement for future employment, leave of absence from or	participation in an employee benefit plan. This p		
con	inuation of payment by a former employer (including severance payments), or continuing	report. See Instructions regarding the reporting arrangements or benefits.	of negotiations for any of these	None□
	Status and Terms of any Agreement or A	rrangement	Parties	Date
Exa	pursuant to partnership agreement, will receive lump sum payment of capital according performed through 11/93 and retained pension benefits (independently managed,		Doe Jones & Smith, Hometown, USA	7/85
1	None that I am aware of – other than 401K and IRA			
2				
3				