-	90-PF	or Section 4	Return of Private 947(a)(1) Trust Trea tial security numbers or ov/Form990PF for instruct	ated as Private F	/ be made public.	OMB No 1545-0052 2017 Open to Public Inspectio	
		tax year beginning		, and ending		Open to Public Inspectio	<u>on</u>
GRATJ ROYAI 9053	TUDE AMERICA PALM PROFES ESTATE THOMA	A, LTD SSIONAL BUILDIN AS, SUITE 101	· ····································		A Employer Identificat 66-078969 B Telephone number (s 340-779-2	7see instructions)	
ST. THOMAS, VI 00802					C If exemption applica	ation is pending, check here	
	ck all that apply	Initial return Final return Address change	Initial return of a form Amended return Name change		 D 1 Foreign organization 2 Foreign organization here and attach com 	is meeting the 85% test, check	
Fair i	market value of all asset n Part II, column (c), line	I) nonexempt charitable s at end of year J Ac 16)	11 (c) (3) exempt private f trust Other taxable p counting method XC] Other (specify) I, column (d) must be or	orivate foundation	under section 507(b	in a 60-month termination	
Part I	Analysis of R Expenses (Th columns (b), (c), sarily equal the (see instructions	tevenue and e total of amounts in , and (d) may not neces amounts in column (a)))	(a) Revenue and expenses per books	(b) Net investmen income	t (c) Adjusted n income	et (d) Disbursement for charitable purposes (cash basis only	
ũ		ants, etc., received (attach schedule) foundation is not required to attach Sch	B				
1		temporary cash investments	144,578. 89,161.	144,57 89,16			
	b Net rental income or (loss)	<u> </u>	899,417.				
REVENUE	b Gross sales price assets on line 6a	$for all = \frac{7,468,333}{2}$			Fr:	U.S. NED	
	8 Net short-term cap	· •		899,41	18 AU		
	 9 Income modificati 10 a Gross sales less returns and allowances. 	ons			μω ^γ		<u></u>
	b Less Cost of goods sold . c Gross profit or (loss) (attack cohortulo					
,	11 Other income (att		10,820.	10,82	20.		
n ri	12 Total. Add lines	1 through 11	1,143,976.	1,143,97		0.	
)		officers, directors, trustees, etc salaries and wages	0.				
<u>ک</u>	15 Pension plans, er 16a Legal fees (attach		712.			71	12.
زرت M D N -	b Accounting fees (c Other professional fee	attach sch)					
N O I P S	17 Interest .						
OPERAT	18 Taxes (attach schedule 19 Depreciation (atta schedule) and dep	ach					
I I N V G E	20 Occupancy 21 Travel, conference			-			
	22 Printing and publi 23 Other expenses (attach schedule) SEE STATEMENT	3 238,174.			238,17	74
SES	expenses. Add la	ind administrative nes 13 through 23 ants caid	238,886.			238,88	
		nd disbursements.	1,260,000.		0.	0. 1,498,88	
	27 Subtract line 26 f a Excess of revenu and disbursemen	ie over expenses	-354,910.	-			
	b Net investment in	ncome (if negative, enter -0-) ome (if negative, enter -0-)		1,143,97	26	0.	
		uction Act Notice, see in	Istructions.	TEEA0504L 08		Form 990-PF (20	17)

Form 990-PF (2017) GRATITUDE AMERICA, LTD 66-0789697 Page					89697 Page 2			
<u> </u>		Balance Sheets Attached schedules and amounts in the description column should be for end-of-year amounts only.	Beginning of year	End c	of year			
Far		Column should be for end-or-year amounts only, (See instructions)	(a) Book Value	(b) Book Value	(c) Fair Market Value			
	1	Cash – non-interest-bearing						
	2	Savings and temporary cash investments .	4,459,553.	3,437,853.	3,437,853.			
	3	Accounts receivable						
		Less: allowance for doubtful accounts						
	4	Pledges receivable , ,						
		Less. allowance for doubtful accounts						
	5	Grants receivable						
	6	Receivables due from officers, directors, trustees, and other disqualified persons (attach schedule) (see instructions) .						
	7							
A		Less, allowance for doubtful accounts						
S	8	Inventories for sale or use						
A S S E T	9	Prepaid expenses and deferred charges			<u></u>			
T S	10-	a Investments – U.S and state government			<u> </u>			
3		obligations (attach schedule) p investments — corporate stock (attach schedule) STATEMENT 4	4 005 254	F 472 044	F 406 011			
	1	p investments — corporate stock (attach schedule) STITIZETATION T	4,805,254.	5,472,044.	5,426,011.			
	Ļ	Investments – land, buildings, and						
		equipment basis			······································			
		(attach schedule)						
	1	Investments – mortgage loans						
	1	Investments – other (attach schedule)						
	14	Land, buildings, and equipment basis			; • • •			
		Less: accumulated depreciation (attach schedule)						
	-	Other assets (describe						
	16	Total assets (to be completed by all filers – – – – – – – – – – see the instructions. Also, see page 1, item I)	9,264,807.	8,909,897.	8,863,864.			
<u> </u>	17	Accounts payable and accrued expenses .			0,000,0011			
ļ	18	Grants payable		· · · · · · · · · · · · · · · · · · ·				
B	19	Deferred revenue.						
!	20	Loans from officers, directors, trustees, & other disqualified persons .			,			
ī	21	Mortgages and other notes payable (attach schedule)			-			
Ţ	22	Other liabilities (describe >						
É		·						
<u> </u>	23	Total liabilities (add lines 17 through 22)	0.	0.				
	1	Foundations that follow SFAS 117, check here and complete lines 24 through 26, and lines 30 and 31.						
					ا د ا مرمها			
N F E U T N	24		9,264,807.	<u>8,909,897.</u>				
	25	Temporarily restricted						
, D	26	Permanently restricted						
ŜВ		Foundations that do not follow SFAS 117, check here.						
SA					· · · ·			
A S B S A E L T A	27	Capital stock, trust principal, or current funds		- <u> </u>	2-1-1-1			
S N C		Paid-in or capital surplus, or land, bldg., and equipment fund.						
ΟĒ	29	Retained earnings, accumulated income, endowment, or other funds						
ŘŠ	30	Total net assets or fund balances (see instructions)	9,264,807.	<u>8,909,897.</u>	· · · · · ·			
	31	Total liabilities and net assets/fund balances (see instructions)	9,264,807.	8,909,897.	1 . T			
Par	Part III Analysis of Changes in Net Assets or Fund Balances							
		I net assets or fund balances at beginning of year – Part II, colu						
1	end-	of-year figure reported on prior year's return)			9,264,807.			
2		r amount from Part I, line 27a.	•• • •• ••	2	-354,910.			
		increases not included in line 2 (itemize) ►		3				
		lines 1, 2, and 3			8,909,897.			
		ases not included in line 2 (itemize)		5				
		I net assets or fund balances at end of year (line 4 minus line 5)	- Part II, column (b), lir		8,909,897.			
BAA		TEEA0302L 0		A	Form 990-PF (2017)			
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Form 990-PF (2017) GRATITUDE				6(6-0789697	Page 3
P	Losses for Tax on Investmer the kind(s) of property sold (for examp house; or common stock, 200 shares		(b) How acq P - Purcha	ase	(C) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
			D - Donat	ion		
1a SEE ATTACHED			<u>P</u>			
c				†		· · · · · · · · · · · · · · · · · · ·
d						
e	<u>, </u>					
(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other bas plus expense of sal			(h) Gain or ((e) plus (f) m	(loss) Inus (g))
a 7,468,333.		6,568	3,916.			899,417.
C						
d						
e	<u></u>					
(i) FMV as of 12/31/69	wing gain in column (h) and owned t (j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	69		(I) Gains (Col. n minus col. (k), b i -0-) or Losses (fr	out not less
a						899,417.
b						
d						<u> </u>
2 Capital gain net income or (n	et capital loss).	enter in Part I, line 7 ter -0- in Part I, line 7				
	(loss) as defined in sections 1222(5		+	2		899,417.
If gain, also enter in Part I, li	ne 8, column (c) See instructions If	_		3		0
In Part I, line 8	er Section 4940(e) for Reduce	d Tax on Net Investm	ent Inco	-		0.
	this part blank ection 4942 tax on the distributable a lify under section 4940(e). Do not co		ase period	1?	Yes	X No
1 Enter the appropriate amount in	each column for each year; see the in	structions before making any	entries.			
(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use as	sets	(0	(d) Distribution col (b) divided	
2016	477,501.	9,603	3,702.			0.049721
2015	122,434.	2,46	5,270.			0.049664
2014		<u>. </u>				·
2013		<u> </u>				
2012				<u> </u>		
2 Total of line 1, column (d)				2		0.099385
3 Average distribution ratio for the number of years the foundation	e 5-year base period – divide the total o on has been in existence if less than	on line 2 by 5 0, or by the 5 years		3		0.049693
4 Enter the net value of noncha	aritable-use assets for 2017 from Par	t X, line 5		4		9,134,556.
5 Multiply line 4 by line 3			.	5		453,923.
6 Enter 1% of net investment in	ncome (1% of Part I, line 27b) .	· · · · ·	ŀ	6		11,440.
7 Add lines 5 and 6.		····· ··· ···	· · · ·	7		465,363.
8 Enter qualifying distributions	from Part XII, line 4		[8		1,498,886.
If line 8 is equal to or greater th Part VI instructions.	an line 7, check the box in Part VI, line	1b, and complete that part u	ising a 1%	tax rat		`
BAA	TEEA0303	. 08/24/17			For	n 990-PF (2017)

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Form 300-PF (2017) GRATTUTUDE AMERICA, LTD 66-078.9630 Page 4 Part VI Tickse Tax Based on Investment Income (section 4400, 4400		5			•		•
a Euror spendo print print second a second \$950(0); check here • L and enter WA on line 1. Dide of damp determition Second \$950(0); check here • L and enter WA on line 1. Dide of damp determition Second \$950(0); check here In the second second second \$950(0); check here In the second sec	Form	990-PF (2017) GRATITUDE AMERICA, LTD	6-0	78969	7	P	age 4
Die of nump or deterministion teller: (datach copy of lefter l'incressary – see instructions) b Commestic foundations that mate the section 4940(c) requirements in Part V. 11,440, c Al other domestic hundbatons ettar 2% of lera 1, line 27b, 11,440, c Al other domestic hundbatons ettar 2% of lera 1, line 27b, 2 c Al other domestic hundbatons ettar 2% of lera 1, line 32b, 2 3 Add lines 1 and 2 2 4 Subtle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only, others, enter -0- 5 5 Tax based on invessment fincemes. Subtract line 4 from line 3. If zeron less, enter -0- 5 6 Codia/Bayments: 6 a 8 add lines 1 and 2 6 a 9 add lines 1 and 2 6 a 11 directals and parments: 6 a 12 directals and parments: 6 a 13 directals and parments: 6 a 14 directal line 3 and bit he tabid of the 5 and 8 innor 8 the amount over al 7 13 down the bid of the 5 and 8 innor 8 ther amount over al 9 14 directal bit of the directal and a statis and parment and a statis or local legislation or did it participate or the directal bit of the directal directal bit of the directal directal bit of the directal bit of the directal bit of the d			see	instructio	ons)		
b Domestic foundations that meet the section 3940(a) requirements in Part V. - 1 11, 440. c Red were big and enter Vs of Part I, Ium 27b. - 1 11, 440. c Rule were the section 511 (domestic section 4947(a)(1) trusts and taxable foundations only, others, enter -0. 2 0. 3 Add lines 1 and 2 - - - 11, 440. 6 Subtride (Ancomo) tax (domestic section 4947(a)(1) trusts and taxable foundations only, others, enter -0. - - - 6 Credul:Sprements: - - - - - - a 200 statistic (Ancomo) tax (domestic section 4947(a)(1) trusts and taxable foundations only, others, enter -0. -	1 a	Exempt operating foundations described in section 4940(d)(2), check here . and enter 'N/A' on line 1.					
c All obser damations enter 2% of like 27b. Exercit freega regranzations enter 4% of Part I, lime 12, coll, (b) c All obser damations enter 2% of like 27b. Exercit freega regranzations enter 4% of Part I, lime 12, coll, (b) Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only, others, enter -0- Genduszations only, others, enter -0- Tax based on investment Incomes. Subtract Line 6 from line 3. If zero or less, enter -0- For cellus/Payments: 207 estimated ta parts and 216 overpayment oredited to 2017 b Exempt foreign organizations – tax withheld at source Fax based on investment Inter too 16 (e-Grm 8669) Ged Total credits and payments and lines 6 at through 6d Enter any penalty for underpayment of estimated tax * 8, 480. Refunded * 11 Refunded * 11 Refunded * 11 Refunded * 11 Inter tax and the 101 fan can tam ban and the adverted and adverted and adverted and adverted adverted	I	Date of ruling or determination letter:					
c All other densets features exclore 511 (donestic section 4947(a)(1) trusts and taxable foundations only, others, enter -0. 3 Add Ines 1 and 2 4 0. 5 Tax based on investment income. Subtract line 4 from time 3. If zero or less, enter -0. 5 Tax based on investment income. Subtract line 4 from time 3. If zero or less, enter -0. 6 Trust increasing organizations of the total of 2017 6 Subtract Angements: 6 Total creasing organizations of the total of 2017 6 Subtract Angements: Add Ines 6 attrough 6 dd 7 Total creatis and payments: Add Ines 6 attrough 6 dd 8 Ta due. If the dial lines 3 and 3 mere ban iter, 7 the amount over all 1 form 2220 is attracted 9 0. 9 Tax due. If the dial lines 3 and 3 mere ban iter, 7 the amount over all 1 organizations of the dial lines 3 and 3 mere ban iter, 7 the amount over all 1 organization or did the set of the amount over all 1 organization or did the set of the amount over all 1 organization or did the foundation in connection with the activities of the amount over all 1 organization or did the foundation in acconection with the activities of any materials published or distributions of the amount over all 1 organization or did the foundation managers - 5 0. 10 Units foundation managers - 5 0. 10 at the amount flar any of the amount over all counted at the mean of the activities of the amount over all 1 organizations of the definition 1 and 2 mere and to all the amount over all 1 organizations of the definition 1 and 2 mere and a definition 1 and 2 mere and a definition 1 and 2 mere an			•	1		11,4	40.
2 Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only, others, enter -0- 2 0. 3 Add lines 1 and 2 11, 440. 4 0. 11, 440. 5 Tax based on investment income. Subtract line 4 from line 3. If zero or less, enter -0. 5 11, 440. 6 Credits/Payments: 5 11, 440. 5 11, 440. 7 Cata credits and payments withheld at source: 5 5 11, 440. 6 Cata credits and payments Add lines 5 as its once than line 7, enter anound weed: 9 0. <td></td> <td></td> <td></td> <td></td> <td></td> <td></td> <td>4</td>							4
foundations only, others, enter -0; 2 0. 3 Add Ines' and 2. 3 11,440. 4 Subtle A (noncre) tax (domestic section 4947(a)(1) trusts and taxable foundations only, others, enter -0; 3 5 Tax based on investment incomes. Subtract line 4 from Ine 3. If zero or less, enter -0; 5 6 Credits/Payments: 6a 8 Difference 5 11,440. 5 6 Subtle A (noncre) tax (domestic section 4947(a)(1) trusts and taxable foundations only, others, enter -0; 5 11,440. 6a 6 Subtle A (noncre) tax (domestic section 4947(a)(1) 6a 6 Subtle A (noncre) tax (domestic section 4947(a)(1) 6a 7 20,000. 6 Backup withholding erroncously withheld 7 7 20,000. 7 20,000. 9 Tax due, If the total of lines 5 and 8 is more ban line 7, enter amount ower and 10 10 Oregraphic I function and times tax (d), enter amount ower and 110 8,480. 11 a During the tax year, did the foundation and time tax (d) enter early national, state, or local legislation or did it and and and earled description of the activities 11a 1 a During the tax meant (f) any (0 tax on political appenditure (section 4945) inposed during the year: 1 </td <td>C</td> <td>All other domestic foundations enter 2% of line 27b. Exempt foreign organizations enter 4% of Part I, line 12, col. (b)</td> <td>ŀ</td> <td></td> <td></td> <td></td> <td></td>	C	All other domestic foundations enter 2% of line 27b. Exempt foreign organizations enter 4% of Part I, line 12, col. (b)	ŀ				
3 Add lines 1 and 2 4 Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only, others, enter -0. 5 Tax based on investment income. Subtract line 4 from line 3. If zero or less, enter -0. 5 Credits/Payments' 	2	Tax under section 511 (domestic section 4947(a)(1) trusts and taxable					
4 Subtle A (income) tax (domestic scholn 4947(a)(1) trusts and taxable foundations only, others, enter -0- Tax based on investment income. Subtract line 4 from line 3. If zero or less, enter -0- 5 11, 440. 5 Tax based on investment income. Subtract line 4 from line 3. If zero or less, enter -0- 5 11, 440. 6 Credits#gments: 6 6 20, 000. 6 6 Credits#gments 6 6 20, 000. 6 6 20, 000. 6 6 20, 000. 8 6 8 0. 7 20, 000. 8 8 80. 7 20, 000. 8 6 8 80. 8 80. 7 20, 000. 8 6 8 80. 8 80. 8 80. 8 80. 8 80. 8 80. 8 80. 8 80. 8 80. 8 80. 8 80. 8 80. 10 0. 20. 20. 20. 10			ŀ				0.
5 Tax based on investment income. Subtract line 4 from line 3. If zero or less, enter -0- 5 11,440 6 Credits/Payments' 3207 etailistic approximation - tax withheld at source 5 11,440 6 Credits/Payments' 6 at 6 at 6 at 6 at 6 Credits/Payments' 6 at 6 at 6 at 6 at 6 at 7 Total credits and payments restression of time to fite (Form 8868) 6 at 6 at 8 at <	-		;			11,4	
			'			11	
a 2017 strandard ta prite and 2016 evergyment credied to 2017 6 a b Exempt foreign organizations – tax withheld at source 6 b c Tax paid with application for extension of time to file (Form 3868). 6 c d Backup withholding erroneously withheld 7 7 Total credits and payments Add lines 5 at through 6d 7 8 Enter any penalty for underpayment of estimated tax. Check here [X] if Form 2220 is attached 8 9 Ta due. If the total of lines 5 and 3 is more than line 7, etter anount overpaid 10 10 Overgyment if line 7 is more than the total of lines 5 and 8, etter the anount overpaid 10 11 Enter the amount of line 10 to be Credited to 2018 estimated tax 8, 480. Refunded (Part VII-A) Statements Regarding Activities 11 a During the tax year, did the foundation attempt to influence any national, state, or local tegislation or did t participate or infervene in any political campaign? 1b X. 9 Dud it speen dimer than 510 during the year (either directly or indirectly) for political purposes? 1b X. 9 Enter the rembursement (if any) paid by the foundation at expenditure science 4955) imposed during the year: 1 1 10 On the foundation magenger 5 0. (2) On foundation magenger 0. 2 Hos the foundation magenged in any activities stat			· ł			11,4	<u>40.</u>
b Exempt foreign organizations – tax withheld at source 6b c Tax paid with application for extension of time to file (Form 8868) 6c d Backup withholding erroneously withheld 7 20,000. 8 e Enter any penalty for underpayment of estimated tax. Check here [X] if Form 2220 is attached 7 20,000. 8 10 Overpayment (1 line 1) is more than the tital of times 5 and 8, inter the amount overpaid 9 10 Overpayment (1 line 1) is more than the tital of times 5 and 8, inter the amount overpaid 9 11 During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or infervene in any political attemption? 18 12 Using the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or infervene in any political attemption? 18 13 During the tax year, did the foundation attempt on influence any national, state, or local legislation or did it participate or infervene in any political attemption? 18 2 d is spend more than \$100 during the year (either directly or indirectly) for political purposes? 16 X 2 bod it spend more than \$100 during the year? 0. (2 Or foundation managers + \$ 0. 3 (1 form 120+OL Cir this year? 1 1 X 4 bod the foundation in a connection with				{			
c Tax paid with application for extension of time to file (Form 8868). 				·	•	i nat	
d Backup withholding erroneously withheld 6d 7 20,000 7 Total credits and payments Add lines 6 attrough 6d 7 20,000 9 Tark due. If the total dines 5 and 8, enore than line 7, enter amount overal 8 80. 10 Overpayment II line 7 is more than the 7, enter amount overal 10 8,480. 10 8,480. 11 During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene many political campaign? 11 0. 12 During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene many political campaign? 11 0. 14 During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene many political campaign? 16 X 15 During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it 18 X 16 During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it 12 X 16 Did the foundation in connection with the activities 16 X 16 X 17 Cstate the statemate ascention of the			6				1
7 Total credits and payments. Add lines 6 at through 6d 7 20,000. 8 Enter any penalty for underpayment of estimated tax. Check here: X if Form 2220 is attached 8 80. 9 Tax due. If the total of lines 3 and 8 is more than the total of lines 5 and 8, enter the amount overpaid 10 8,480. 9 0. 10 Overpayment 11 line 10 to the Cedited to 2018 estimated tax 8,480. Refunded 11 0. 11 During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or inferviene in any political campaign? 14 X 12 During the tax year, idd the foundation attempt to influence any national, state, or local legislation or did it participate or inferviene in any political campaign? 16 X 13 During the tax year, idd the foundation attempt to influence any national, state, or local legislation or did it participate or inferviene in any political campaign? 16 X 14 X Did it spenditure (either directly or indirectly) for political purposes? 16 X 15 X If the answer is Yes' to 1a or 1b, attach a detailed description of the activities 16 X 16 X 16 Tree inthe amount (if any) polit dy the foundation managers \$	-						1
8 Enter any penalty for underpayment of estimated tax. Check here [X] if Form 2220 is attached 8 80. 9 Tax due. If the total of hines 5 and 8 is more than line 7, enter amount overpaid 10 10 10 Overspannet If line 7 is more than the total of hines 5 and 8, enter the amount overpaid 10 10 11 Enter the amount of line 10 to be "credited to 2018 estimated tax * 8, 480. Refunded 11 12 During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or infervene in any political campaign? 8 80. 14 Daring the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or infervene in any political campaign? 11 0. 14 X Yes No 18 X 15 During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or infervene in any political campaign? 10 11 X 16 During the tax year, did the foundation in connection with the activities 0. 12 X 17 Batter the amount (f any) paid by the foundation during the year? 0. 0. 12 X 18 Statch a detalle				7		20.0	000.
10 Overpayment If line 7 is more than the total of lines 5 and 8, enter the amount overpaid 10 10 8, 480, Refunded 10 11 0 11 10	8	Enter any penalty for underpayment of estimated tax. Check here $[X]$ if Form 2220 is attached .	Ì	8			
11 Enter the amount of line 10 to be Credited to 2018 estimated tax * 8,480. Refunded * 11 0. Part VILA Statements Regarding Activities • 8,480. Refunded * 11 0. 1a During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or infervene in any political campaign? 1a X 1a X b) did it spend more than \$100 during the year (either directly or indirectly) for political purposes? 1b X 1b X c) did it spend more than \$100 during the year (either directly or indirectly) for political purposes? 1c X 1b X c) did it spend more than \$100 during the year (either directly or indirectly) for political expenditures (section 4955) imposed during the year? 1c X d) Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers \$ 0. 2 X if 'Yes,' attach a detailed description of the activities. 0. 2 X 4a X d) did the foundation magers \$ 0. 0. 0. 0. 0. 0. 0. 0. 0. 0. 0.	9 .	Tax due. If the total of lines 5 and 8 is more than line 7, enter amount owed	►	9			0.
Part VII-A Statements Regarding Activities 1a During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign? 1a X b Did it spend more than \$100 during the year (either directly or indirectly) for political purposes? 1b X See the instructions for the definition 1b X 1b X If the answer is Yes' to 1a or 1b, attach a detailed description of the activities 1c X 1c X If the answer is Yes' to 1a or 1b, attach a detailed description of the activities 0 1c X 1c X If the answer is Yes' to 1a or 1b, attach a detailed description of the activities 0 0 1c X 1c X If the answer is Yes' to 1a or 1b, attach a detailed description of the activities (section 4955) imposed during the year: 1c X 1c X If Yes, 'attach a detailed description of the activities. 0 1 2 X 1 1a X If Yes, 'attach a detailed description of the activities. 0 1 2 X 1 1c X If Yes, 'attach a detailed description of the activities. 0 0 1	10	Overpayment If line 7 is more than the total of lines 5 and 8, enter the amount overpaid	►	10		8,4	80.
1a During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campagn? Image: Note that the state intervene in any political campagn? b Did it spend more than \$100 during the year (either directly or indirectly) for political purposes? Image: Note the definition if the answer is 'Ves' to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities Image: Note the foundation is the definition c Did the foundation is for the 120-POL for this year? Image: Note the foundation is year? Image: Note the foundation is year? d Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year: Image: Note Year Image: Note Year e Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers * S O e Has the foundation agged in any activities that have not previously been reported to the IRS? Image: Note Year Image: Note Year 3 Has the foundation mapagers * S O Image: Note Year Image: Note Year 4a Did the foundation during the year? If 'Yes,' attach a detailed description of the sativites. Image: Note Year Image: Note Year 5 Was there alignation managers * S Image: Note Year Image: Note Ye			•	11			0.
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If the answer is Yes' to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities Ic					1.6		
c Did the foundation file Form 1120-POL for this year? It It X d Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year: It X (1) On the foundation → \$ 0. 2 On foundation managers → \$ 0. 2 Has the foundation maged in any activities that have not previously been reported to the IRS?. If Yes,' attach a detailed description of the activities. 2 X 3 Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? If Yes,' attach a conformed copy of the changes 3 X 4a Did the foundation tave unrelated business gross income of \$1,000 or more during the year? 4a X b If Yes,' has it filed a tax return on Form 990-T for this year? 5 X 5 Was there a liquidation, termination, dissolution, or substantial contraction during the year? 5 X 6 By language in the governing instrument, or 9 8 a Enter the state law remain in the governing instrument? 5 X 7 X 8a Enter the states to which the foundation reports or with which it is registered. See instructions. 7 X 8 b If the answer is 'ts' lo line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or desingnate) of each state as required by General	I	f the answer is 'Yes' to 1a or 1b, attach a detailed description of the activities and copies of any materials published		•			<u> </u>
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10 Did any persons become substantial contributors during the tax year? If 'Yes,' attach a schedule listing their names 10 X 10 and addresses 10 X	9	s the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3 for calendar year 2017 or the tax year beginning in 2017? See the instructions for Part XIV. If 'Yes,' comp) or lete	4942(j)(! Part XI∨	5) '9		X
	10	Did any persons become substantial contributors during the tax year? If 'Yes,' attach a schedule listing their name					ý/ X
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Form	n 990-PF (2017) GRATITUDE AMERICA, LTD 66-078	39697	P	age 5
	rt VII-A Statements Regarding Activities (continued)			
11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If 'Yes,' attach schedule. See instructions	11	Yes	No X
12	Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If 'Yes,' attach statement See instructions	12		x
13		? 13	X	
	Website address N/A		L	
14		0-779-2	564	
	Located at > 9053 ESTATE THOMAS, SUITE 101 ST THOMAS VI ZIP + 4 > 00802	<u>N</u> /Z		
15	Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041 – check here . and enter the amount of tax-exempt interest received or accrued during the year .		Α, Γ	L] N/A
		1	Yes	No
1 6	At any time during calendar year 2017, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country?	16		X
	See the instructions for exceptions and filing requirements for FinCEN Form 114 If 'Yes,' enter the name of the foreign country			
Pa	rt VII-B Statements Regarding Activities for Which Form 4720 May Be Required			·
	File Form 4720 if any item is checked in the 'Yes' column, unless an exception applies.	ļ	Yes	No
1 a	a During the year, did the foundation (either directly or indirectly)			
	(1) Engage in the sale or exchange, or leasing of property with a disqualified person? . \Box Yes X	No		
		No		
		No	ļ.	- !
	(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person?	No .		
	(5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)?	No	к - к	
	(6) Agree to pay money or property to a government official? (Exception. Check 'No' if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days.)	No		
1	b If any answer is 'Yes' to 1a(1)(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance? See instructions			
	Corganizations relying on a current notice regarding disaster assistance? See Instructions			A I
•	c Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts,	10		
2	that were not corrected before the first day of the tax year beginning in 2017?. Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a			X
	private operating foundation defined in section 4942(j)(3) or 4942(j)(5)):		2	
i	a At the end of tax year 2017, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2017?	No		
	If 'Yes,' list the years \ge 20, 20, 20		. · · ·	
		- 4	1.	
1	b Are there any years listed in 2a for which the foundation is not applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to			
	all years listed, answer 'No' and attach statement – see instructions)	<u>2t</u>	N	YA
•	c If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here 20, 20, 20, 20			
3	a Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year?	No		
1	 b If 'Yes,' did it have excess business holdings in 2017 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969, (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest; or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2017.) 			
		31		
	a Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?	47	•	X
1	b Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of			
	the tax year beginning in 2017?	Form 9		<u>X</u>
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Form 990-PF (2017) GRATITUDE AMERICA	LTD .		66-07	89697 Page 6
Part VII-B Statements Regarding Activit	ies for Which Form	4720 May Be Req	uired (continued)	
5a During the year, did the foundation pay or incur a	ny amount to:			Yes No
(1) Carry on propaganda, or otherwise attemp	-	n (section 4945(e))?	Yes X	No No
	_			
(2) Influence the outcome of any specific pub on, directly or indirectly, any voter registra	ation drive?	(4955), or to carry	. Tyes X	No
(3) Provide a grant to an individual for travel,		purposes?		No
(4) Provide a grant to an organization other than	a charitable etc. organi	zation described		
in section 4945(d)(4)(A)? See instructions	a chantable, etc., organi	···	🗌 Yes 🕅	No
(5) Provide for any purpose other than religio educational purposes, or for the preventio	us, charitable, scientific n of cruelty to children	;, literary, or or animals?	Yes X	No
b If any answer is 'Yes' to 5a(1)—(5), did any of described in Regulations section 53 4945 or in a See instructions	the transactions fail to current notice regarding d	qualify under the exception of the excep	ptions	5b N/A
Organizations relying on a current notice rega	rding disaster assistanc	ce, check here	► -	2 m ² 2 ³ 1 1 ³ 1 ₂ 1 ₂ 1 ₃
c If the answer is 'Yes' to question 5a(4), does tax because it maintained expenditure respon	the foundation claim ex sibility for the grant?	emption from the	N/A Yes	No
If 'Yes,' attach the statement required by Reg	ulations section 53 4945	5-5(d).		
6 a Did the foundation, during the year, receive al on a personal benefit contract?	ny funds, directly or ind	irectly, to pay premium	s Yes X	No
b Did the foundation, during the year, pay prem	iums, directly or indirec	tly, on a personal bene		6b X
If 'Yes' to 6b, file Form 8870.				
7a At any time during the tax year, was the found	• •			No
b If 'Yes,' did the foundation receive any procee				N/A 7b
Part VIII Information About Officers, D and Contractors	irectors, Trustees,	Foundation Manag	gers, Highly Paid E	imployees,
1 List all officers, directors, trustees, and found		······		T
(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
RICHARD KAHN	PRESIDENT	0.	0.	0.
575 LEXINGTON AVE, 4TH FLOOR	1.00		0.	
NEW YORK, NY 10022	1.00			
DARREN K. INDYKE	VICE PRESIDE	0.	0.	0.
575 LEXINGTON AVE, 4TH FLOOR	1.00	0.	υ.	0.
NEW YORK, NY 10022	1.00			
ERIKA A. KELLERHALS	SECRETARY	0.	0.	0.
9053 ESTATE THOMAS, SUITE 101	1.00	•••		
ST. THOMAS, VI 00802				
ERIKA A. KELLERHALS	TREASURER	0.	0.	0.
9053 ESTATE THOMAS, SUITE 101	1.00			
ST. THOMAS, VI 00802				
2 Compensation of five highest-paid employees (or	ther than those included	on line 1 - see instruction	ns). If none, enter 'NONE	·
	(b) Title, and average		(d)Contributions to	1
(a) Name and address of each employee paid more than \$50,000	hours per week devoted to position	(c) Compensation	employee benefit plans and deferred compensation	(e) Expense account, other allowances
NONE				
				<u> </u>
				
·		·		·

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Total number of other employees paid over \$50,000

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Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors (continued)

3 Five highest-paid independent contractors for professional services. See in (a) Name and address of each person paid more than \$50,000	(b) Type of service	(c) Compensation
ONE		
tal number of others receiving over \$50,000 for professional services	· · · · · · · · ·	▶

Part IX-A Summary of Direct Charitable Activities

List t organ	he foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of izations and other beneficiaries served, conferences convened, research papers produced, etc.	Expenses
1	<u>N/A</u>	
2		
3		
4		

Part IX-B Summary of Program-Related Investments (see instructions)

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2.	Amount
1 <u>N/A</u>	-
2	-
	-
All other program-related investments. See instructions	
3	_
	-
Total. Add lines 1 through 3	▶0.
BAA	Form 990-PF (2017)

Form	990-PF (2017) GRATITUDE AMERICA, LTD	66-07896	97 Page 8
Par	t:X: Minimum Investment Return (All domestic foundations must complete this part.	Foreign for	undations,
_	see instructions.)		· · · · · · · · · · · · · · · · · · ·
1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc , purposes:		
	Average monthly fair market value of securities.	1a	5,208,163.
	Average of monthly cash balances	. <u>1b</u>	4,065,498.
	Fair market value of all other assets (see instructions)	1c	
	Total (add lines 1a, b, and c)	1 d	9,273,661.
e	Reduction claimed for blockage or other factors reported on lines 1a and		
	1c (attach detailed explanation) .	0.	
2	Acquisition indebtedness applicable to line 1 assets	2	0.
3	Subtract line 2 from line 1d	3	9,273,661.
4	Cash deemed held for charitable activities Enter 1-1/2% of line 3 (for greater amount, see instructions)	4	139,105.
5	Net value of noncharitable-use assets. Subtract line 4 from line 3 Enter here and on Part V, line 4	5	9,134,556.
6	Minimum investment return. Enter 5% of line 5.	. 6	456,728.
Pai	TXI Distributable Amount (see instructions) (Section 4942(j)(3) and (j)(5) private op		ndations
	and certain foreign organizations, check here and do not complete this part	t.)	
1	Minimum investment return from Part X, line 6	1	456,728.
2 a	Tax on investment income for 2017 from Part VI, line 5 2a 11, 44	10.	
Ŀ	Income tax for 2017. (This does not include the tax from Part VI) 2b		
c	Add lines 2a and 2b	2 c	11,440.
3	Distributable amount before adjustments. Subtract line 2c from line 1	. 3	445,288.
4	Recoveries of amounts treated as qualifying distributions	4	
5	Add lines 3 and 4	5	445,288.
6	Deduction from distributable amount (see instructions)	. 6	
7	Distributable amount as adjusted Subtract line 6 from line 5 Enter here and on Part XIII, line 1	. 7	445,288.
Dai	WII Qualifying Distributions (see instructions)		<u></u>
		· · · · · · · · · · · · · · · · · · ·	
1	Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes.	1	
a	Expenses, contributions, gifts, etc. – total from Part I, column (d), line 26	<u>1a</u>	1,498,886.
Ŀ	Program-related investments – total from Part IX-B	1 b	
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes	2	
3	Amounts set aside for specific charitable projects that satisfy the: Suitability test (prior IRS approval required)	. 3a	
	Cash distribution test (attach the required schedule).	3b	
4	Qualifying distributions. Add lines 1a through 3b. Enter here and on Part V, line 8; and Part XIII, line 4	. 4	1,498,886.
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income Enter 1% of Part I, line 27b. See instructions	5	11,440.
6	Adjusted qualifying distributions. Subtract line 5 from line 4	6	1,487,446.
J		·	1,101,110.
	Note: The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the qualifies for the section 4940(e) reduction of tax in those years	e to undation	

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Part XIII Undistributed Income (see instructions)

				
	(a) Corpus	(b) Years prior to 2016	(c) 2016	(d) 2017
1 Distributable amount for 2017 from Part XI, line 7				445,288.
2 Undistributed income, if any, as of the end of 2017:				445,200.
a Enter amount for 2016 only		-	0.	
b Total for prior years: 20 , 20 , 20		0.		,
3 Excess distributions carryover, if any, to 2017:				i
a From 2012 .				
b From 2013 .				j
c From 2014				
d From 2015				
e From 2016				
f Total of lines 3a through e	275,225.			
4 Qualifying distributions for 2017 from Part				1
XII, line 4: \$ 1,498,886.				1
a Applied to 2016, but not more than line 2a			0.	
 Applied to undistributed income of prior years (Election required – see instructions) 		0.		·
c Treated as distributions out of corpus (Election required – see instructions)	0.			ł
d Applied to 2017 distributable amount				445,288.
e Remaining amount distributed out of corpus.	1,053,598.			
5 Excess distributions carryover applied to 2017	0.			0.
(If an amount appears in column (d), the same amount must be shown in column (a))				
				, ,
6 Enter the net total of each column as indicated below:				
a Corpus. Add lines 3f, 4c, and 4e Subtract line 5	1,328,823.			
b Prior years' undistributed income. Subtract line 4b from line 2b.		0.	3	1
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed		0.		ł
d Subtract line 6c from line 6b. Taxable amount – see instructions.		0.		
e Undistributed income for 2016. Subtract line 4a from line 2a Taxable amount — see instructions			0.	1
f Undistributed income for 2017. Subtract lines 4d and 5 from line 1 This amount must be distributed in 2018	-		•	0.
7 Amounts treated as distributions out of		├ <u>-</u>		······································
corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (Election may be required – see instructions)	0.			-
8 Excess distributions carryover from 2012 not applied on line 5 or line 7 (see instructions).	0.			
9 Excess distributions carryover to 2018. Subtract lines 7 and 8 from line 6a	1,328,823.			
10 Analysis of line 9 ⁻		├ ───		
a Excess from 2013				•
b Excess from 2014		· ·		
c Excess from 2015.				1
d Excess from 2016 275, 225.				1
e Excess from 2017 . 1,053,598.				
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Part XIV Private Operating Foundat					N/A
1 a If the foundation has received a ruling or determined is effective for 2017, enter the date of the	ruling		· · · ·	· _ · · · •	
b Check box to indicate whether the foundation	tion is a private ope	erating foundation d	escribed in section	4942(j)(3) or	4942(j)(5)
2a Enter the lesser of the adjusted net income from Part I or the minimum	Tax year		Prior 3 years		(e) Total
investment return from Part X for each year listed	(a) 2017	(b) 2016	(c) 2015	(d) 2014	
b 85% of line 2a				+	
c Qualifying distributions from Part XII, line 4 for each year listed					<u> </u>
d Amounts included in line 2c not used directly for active conduct of exempt activities					
e Qualifying distributions made directly for active conduct of exempt activities Subtract line 2d from line 2c					
3 Complete 3a, b, or c for the alternative test relied upon:					
a 'Assets' alternative test – enter:					
(1) Value of all assets .					
(2) Value of assets qualifying under section 4942(j)(3)(B)(i)			/		
b 'Endowment' alternative test — enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed					
c 'Support' alternative test - enter:					
 Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties) 					
(2) Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii).					
(3) Largest amount of support from an exempt organization		······································			
(4) Gross investment income	7				
PartXVI Supplementary Information assets at any time during th	(Complete this le year – see in	part only if the structions.)	foundation had	1 \$5,000 or more	in
1 Information Regarding Foundation Mana					
a List any managers of the foundation who hav close of any tax year (but only if they have NONE	e contributed more the contributed more	han 2% of the total co than \$5,000). (See	ontributions received section 507(d)(2))	by the foundation before	ore the
b List any managers of the foundation who owr a partnership or other entity) of which the NONE	10% or more of the foundation has a 1	stock of a corporation 0% or greater intere	n (or an equally larg est	e portion of the owner	ship of
2 Information Regarding Contribution, Grant, Check here ► X if the foundation only ma requests for funds. If the foundation make	kes contributions to	preselected charitable			
2a, b, c, and d See instructions a The name, address, and telephone number o		o parcap to uham ap	alizations should be	addroses d	
a the name, address, and telephone number o	r email address of th	le person to whom ap	plications should be	addressed.	
b The form in which applications should be	submitted and infor	mation and materia	is they should inclu	ide:	
c Any submission deadlines:	<u> </u>				
d Any restrictions or limitations on awards,	such as by geograp	hical areas, charital	ole fields, kinds of	Institutions, or other	factors:
· · · · · · · · · · · · · · · · · · ·	-) 33.up				

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Grants and Contributions Paid During th	e Year or Approved for Fu	ture Payment		
	If recipient is an individual			
Recipient	If recipient is an individual show any relationship to any foundation manager or substantial contributor	' Foundation status of	Purpose of grant or contribution	Amount
Name and address (home or business)	or substantial contributor	recipient		
a Paid during the year				
E STATEMENT 5				
				,
			1	
		}		
Total			► 3a	1,260,00
b Approved for future payment				
		} {		
Total		• • •	► 3b	
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Page 12 Part XVI-A Analysis of Income-Producing Activities Enter gross amounts unless otherwise indicated. Excluded by section 512, 513, or 514 Unrelated business income (e) (a) (d) (b) (c) Related or exempt Business Amount Exclu-Amount function income code sion (See instructions) 1 Program service revenue: code а b С d e f g Fees and contracts from government agencies 2 Membership dues and assessments Interest on savings and temporary cash investments 3 14 144,578 Dividends and interest from securities 4 14 89,161 立ち きびん i , T 5 Net rental income or (loss) from real estate: ° 4 * a Debt-financed property b Not debt-financed property 6 Net rental income or (loss) from personal property 7 Other investment income 10,820 14 Gain or (loss) from sales of assets other than inventory 8 18 899,417 9 Net income or (loss) from special events Gross profit or (loss) from sales of inventory 10 Other revenue: 11 а Ь C d 12 Subtotal Add columns (b), (d), and (e) N. * -. . . *** 143,976 1 13 Total. Add line 12, columns (b), (d), and (e) 13 143,976 (See worksheet in line 13 instructions to verify calculations.) Part XVI-B Relationship of Activities to the Accomplishment of Exempt Purposes Explain below how each activity for which income is reported in column (e) of Part XVI-A contributed importantly to the accomplishment of the foundation's exempt purposes (other than by providing funds for such purposes). (See instructions.) Line No. <u>N/A</u>

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Part XVII In	formation Regardi	ng Transfers to and	Transactions and Relationships With Noncharitable	_
E	xempt Organization	S		

		Yes	No
1 Did the organization directly or indirectly engage in any of the following with any other organization described in section 501(c) of the Code (other than section 501(c)(3) organizations) or in section 527, relating to political organizations?			
a Transfers from the reporting foundation to a noncharitable exempt organization of:			L
(1) Cash	1 a (1)		Х
(2) Other assets	1 a (2)		X
b Other transactions:			
(1) Sales of assets to a noncharitable exempt organization	1 b (1)		X
(2) Purchases of assets from a noncharitable exempt organization	1 b (2)		X
(3) Rental of facilities, equipment, or other assets	1 b (3)		X
(4) Reimbursement arrangements	1 b (4)		X
(5) Loans or loan guarantees.	1 b (5)		Х
(6) Performance of services or membership or fundraising solicitations	1 b (6)		X
c Sharing of facilities, equipment, mailing lists, other assets, or paid employees	1 c		X

d if the answer to any of the above is 'Yes,' complete the following schedule. Column (b) should always show the fair market value of the goods, other assets, or services given by the reporting foundation. If the foundation received less than fair market value in any transaction or sharing arrangement, show in column (d) the value of the goods, other assets, or services received.

(a) Line no.	(b) Amount involved	(c) Name of noncharitable exempt organization	(d) Description of transfers, transactions, and sharing arrangements
N/A			
		_	

		Indation directly or d in section 501(c complete the follo			or related to an section !	, one or n 501(c)(3)	ore tax-e) or in se	exempt ection 5	organiza 527?	ations			· · []	Yes	X No
		Name of organization			(b) Type (of organi	zation	T		(c) [Descript	ion of r	elationsi	hip	
N/A															
													·		·
											<u> </u>				
		<u>^</u>													
	Linder o	enalties of perjury, 1 dec	clare that I	have evenined this	return includin		woo sched	Les and	statement	s and to th	a best of a	ny knowle	dag and be	und it is	true
Sign Here		and complete Déclarat	ud	arer (grier than tax											
	·	Print/Type preparer's	name		Preparer's sig	making									
Paid		DAVID M. H	ASSON		DAVID	M. HAS									
Prepa	arer	Firm's name	SECK	ENDORF HAS	SSON AND	REIL									
Use C	Dnly	Firm's address	3000	MARCUS AV	/E STE 1	.W5									
			LAKE	SUCCESS,	NY 1104	2-100									
BAA															

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CLIENT GRATITUD	GRATITUDE AMERICA, LTD ROYAL PALM PROFESSIONAL BUILDING	66-0789697
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STATEMENT 1 FORM 990-PF, PART I, LINE 11 OTHER INCOME		
	(A) (B) NET REVENUE INVESTMENT PER BOOKS INCOME	(C) ADJUSTED NET INCOME
OTHER INVESTMENT INCOME	TOTAL $\frac{\$ 10,820.}{\$ 10,820.} \frac{\$ 10,820.}{\$ 10,820.} \frac{\$ 10,820.}{\$ 10,820.} \frac{\$}{\$ 10,820.}$	0.
STATEMENT 2 FORM 990-PF, PART I, LINE 16/ LEGAL FEES	A	
LEGAL FEES	$(A) (B) NET (C)$ $EXPENSES INVESTMENT ADJUSTED$ $DER BOOKS INCOME NET INCOME$ $\frac{\$ 712.}{\$ 712.} $ $\frac{\$ 0.}{\$ 0.}$	(D) CHARITABLE <u>PURPOSES</u> \$ 712. \$ 712.
STATEMENT 3 FORM 990-PF, PART I, LINE 23 OTHER EXPENSES		
CONSULTING EXPENSE FEDERAL EXCISE TAX MISCELLANEOUS FEES STATE FILING FEES	$\begin{array}{c cccc} (A) & (B) & NET & (C) \\ \hline EXPENSES & INVESTMENT & ADJUSTED \\ \hline PER BOOKS & INCOME & NET INCOME \\ \$ & 235,000. \\ 2,451. \\ 473. \\ 250. \\ \hline TOTAL & $$ 238,174. $$ 0. $$ 0. \\ \end{array}$	(D) CHARITABLE PURPOSES \$ 235,000. 2,451. 473. 250. \$ 238,174.
STATEMENT 4 FORM 990-PF, PART II, LINE 10 INVESTMENTS - CORPORATE :	B STOCKS	
CORPORATE_STOCKS	VALUATION BOOK METHOD VALUE	FAIR MARKET
PUBLICLY TRADED STOCKS	COST <u>\$ 5,472,044.</u> TOTAL <u>\$ 5,472,044.</u>	VALUE 5,426,011. 5,426,011.

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STATEMENT 5 FORM 990-PF, PART XV, LINE 3A RECIPIENT PAID DURING THE YEAR

NAME AND ADDRESS	DONEE RELATIONSHIP	FOUND- ATION STATUS	PURPOSE OF GRANT	AMOUNT
BRUCE & MARSHA MOSKOWITZ FOUNDATION 223 SUNSET AVE., SUITE 200 PALM BEACH FL 33480	NONE	501 C 3	GENERAL CHARITABLE PURPOSES	\$ 50,000.
CROHN'S COLITIS FOUNDATION OF AMERICA 120 BROADWAY NEW YORK NY 10271	NONE	501 C 3	GENERAL CHARITABLE PURPOSES	10,000.
ASSOCIATION MIND EDUCATION ROME ITALY	NONE	501 C3	GENERAL CHARITABLE PURPOSES	60,000.
CANCER RESEARCH WELLNESS INSTITUTE 316 MD VALLEY CENTER NO 230 CARMEL CA 93922		501 C 3	GENERAL CHARITABLE PURPOSES	75,000.
CHOPRA FOUNDATION 2013 COSTA DEL MAR RD CARLBAD CA 92009		501 C 3	GENERAL CHARITABLE PURPOSES	50,000.
FRIENDS OF ELTON JOHN 1 BLYTHE RD LONDON UNITED KINGDOM		501 C 3	GENERAL CHARITABLE PURPOSES	15,000.
INDEPENDENT FEATURE PROJECT 30 JOHN ST BROOKLYN NY 11201		501 C 3	GENERAL CHARITABLE PURPOSES	10,000.
INTERNATIONAL PEACE INSTITUTE INC 777 UNITED NATIONS PLAZA, 4TH FLOOR NEW YORK NY 10017		501 C 3	GENERAL CHARITABLE PURPOSES	375,000.
JTCC 5200 PAINT BRANCH PARKWAY COLLEGE PARK MD 20740		501 C 3	GENERAL CHARITABLE PURPOSES	25,000.
KUHN FOUNDATION 357 WAVERLY DR PASADENA CA 91105		501 C 3	GENERAL CHARITABLE PURPOSES	150,000.
MET ORCHESTRA MUSICIAN PO BOX 230549		501 C 3	GENERAL CHARITABLE PURPOSES	50,000.
MIT 600 MEMORIAL DR W98-308 CAMBRIDGE MA 02139		501 C 3	GENERAL CHARITABLE PURPOSES	150,000.

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STATEMENT 5 (CONTINUED) FORM 990-PF, PART XV, LINE 3A RECIPIENT PAID DURING THE YEAR

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NAME AND ADDRESS	DONEE RELATIONSHIP	FOUND- ATION STATUS	PURPOSE GRANT	OF AMOUNT
NAUTILUS THINK INC 360 W. 36TH ST 7S NEW YORK NY 10018		501 C 3	GENERAL CHARITABLE PURPOSES	\$ 25,000.
THE FILM SOCIETY OF LINCOLN CENTER 70 LINCOLN CENTER PLAZA,4TH FLOOR NEW YORK NY 10023		501 C 3	GENERAL CHARITABLE PURPOSES	25,000.
THE INTER POLICE & FIRE CHAPLAINS ASSOC. 9393 PARDEE RD TAYLOR MI 48180		501 C 3	GENERAL CHARITABLE PURPOSES	50,000.
THE LEUKEMIA & LYMPHOMA SOCIETY 3 INTERNATIONAL DR RYE BROOK NY 10573		501 C 3	GENERAL CHARITABLE PURPOSES	5,000.
THE O'GORMAN GARDEN 23 WEST 129TH STREET NEW YORK NY 10027		501 C 3	GENERAL CHARITABLE PURPOSES	30,000.
UJA FEDERATION OF NEW YORK DIVISION SUITES 296 130 E. 59TH ST NEW YORK NY 10002		501 C 3	GENERAL CHARITABLE PURPOSES	50,000.
UNIVERSITY OF ARIZONA FOUNDATION 1609 NORTH WARREN, SUITE 105B TUCSON AZ 85724		501 C 3	GENERAL CHARITABLE PURPOSES	50,000.
WALK MS 167 DEEPDALE DR MIDDLETOWN NJ 07748		501 C 3	GENERAL CHARITABLE PURPOSES	5,000.
				TOTAL <u>\$ 1,260,000.</u>

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Part IV Capital Gain and Losses for Tax on Investment Income

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Duantity Name (b) How Acquired (c) Date Soft (f) Gross Safe (f) Gross Safe		rditi	v Capital Gain ai	10 203363 101 11	ax on myestment n	neonie		00-0763037
5.000 00 APPARA CORPORATION C.A P 11//4/2017 11//20201 99,907 102,250 (1.141) 1.000 00 AUMANC SUPPING CORPORATION P 11//2/2017 11//0/2017 325,43 445,00 (95) 1.000 00 AUTSING 15 350 1.1-66 P 10//1/2017 11//1/2017 245,447 255,000 (153) 5.000 00 ANDRIVENT IS 154 P 11//1/2017 11//1/2017 24,443 20,000 1.444 1.000 00 CAS SYSTEMS INC P 11//1/2017 11//1/2017 31,843 33,000 3 33,000 3 33,000 4,304 1.000 00 DENAL INTERACTURES INC P 11//1/2017 11//1/2017 12,4561 35,000 4,304 1.000 00 DENAL INTERACTURES INC P 11//1/2017 11//1/2017 12,4503 13,000 4,304 1.000 00 DENAL INTERACTURES INC P 11//1/2017 11//1/2017 12,4503 43,000 4,317 1.000 00 DENAL INTERACTURES INC P 11//1/2017 11//1/2017 12,4603 4,317 4,0000 1,317 13,0000 <th>Quantity</th> <th>Name</th> <th>• •</th> <th>•••</th> <th>(e) Date Sold</th> <th>••</th> <th></th> <th>••</th>	Quantity	Name	• •	•••	(e) Date Sold	••		••
5.000 DA ARDANGE SHEPING CORPORATION P 11/2/07/017 11/2/07/017 39.543 40.500 (6.51) 10.000 DA ARTANTO 3 A P 11/2/07/017 11/07/017 24.548 45.500 (6.51) 50.000 DA ARTANTO 3 A P 11/2/02/017 11/07/017 22.448 20.000 (6.51) 50.000 DB CARA SYSTEMS INC C A P 11/2/12/017 11/2/5/017 13.543 33.500 33.500 33.500 33.500 33.500 33.500 33.500 33.500 33.500 33.500 33.500 33.500 33.500 33.500 33.500 33.500 33.500 33.500 35.500 42.000 10.000 DD DEARA SYSTEMS INC C P 11/2/0107 11/2/2/017 22.000 42.000 <td< td=""><td>5,000 000 APPIAN</td><td>CORPORATION CLA</td><td>P</td><td></td><td>11/16/2017</td><td>99,907</td><td>101,250</td><td>(1,343)</td></td<>	5,000 000 APPIAN	CORPORATION CLA	P		11/16/2017	99,907	101,250	(1,343)
1000000 AFKI NIC 5 303 101-06 P 1007X/012 104/00/017 244,47 250,000 1231 5.000 000 AFKI NIC 5 A P 11/10/0317 11/10/0317 21,454 200,000 648 5.000 000 AFKI NIC 5 A P 11/10/0317 11/10/0317 224,474 200,000 244,483 20,244 1.000 000 CATALMT INC CLA P 11/11/10/1317 11/12/10/137 22,044 18,000 64,000 42,443 20,244 1.000 000 CATALMT INC CLA P 11/12/10/17 11/12/2017 13,544 33,000 43,000 42,0000 42,000 42,0000 </td <td>1,000 000 AQUAN</td> <td>TIA CORP</td> <td>P</td> <td>11/3/2017</td> <td>11/3/2017</td> <td>9,091</td> <td>9,000</td> <td>91</td>	1,000 000 AQUAN	TIA CORP	P	11/3/2017	11/3/2017	9,091	9,000	91
5.000 000 ATENTO 5.A P 11/10/2017 11/10/2017 21,4454 20,000 1.000 000 CASA SYSTEMS INC P 11/10/2017 214,757 244,493 20,234 1,000 000 CASA SYSTEMS INC P 31/11/10/1017 214,767 284,787 244,493 20,234 1,000 000 CASA SYSTEMS INC P 31/11/10/1017 214,767 224,787 244,493 20,234 1,000 000 CASA SYSTEMS INC P 11/2/2017 12/2/2017 224,001 30,832 246,000 4,287 1,000 000 DENAL THEAREMUNCS INC P 11/2/2017 12/2/2017 156,001 4,280 4,297 2,000 000 FOURS SECONTECHNOROGIS INC P 11/2/2017 11/2/2017 4,598 4,320 (11) 1,000 000 FOUR SASONES IC CANAN INC ADR P 11/2/2017 11/2/2017 21/2/2017 21/2/2017 21/2/2017 21/2/2017 21/2/2017 21/2/2017 21/2/2017 21/2/2017 21/2/2017 21/2/2017 21/2/2017 21/2/2017 21/2/2017 21/2/2017 21/2/2/2017 21/2/2/2017 21/2/2/20	5,000 000 ARDM	DRE SHIPPING CORPORATION	P	11/28/2017	11/30/2017	39,543	40,500	(957)
1.00000 ALAUWURTH RC CA P 11/07/071 11/07/071 214/45 30000 5.000 000 BARUMENCE CORP P 9/11/2/017 100/25/0017 284/45 20294 1.000 000 CATALENT INC P 12/12/017 13/354 13.000 3 1.000 000 CATALENT INC P 12/2/017 12/2/017 30.22 26.000 4.234 1.000 000 CATALENT INC P 12/2/2017 12/2/017 30.630 31.000 6.521 1.000 000 CATALENT INC CORP P 12/2/2017 12/2/2017 4.599 5.000 6.521 2.000 000 CATALENT INC ACAR P 11/2/2017 12/2/2017 4.599 5.000 (1) 2.000 000 CATALENT INC ACAR P 11/2/2017 12/2/2017 4.538 4.732 (1) 3.000 000 ANDINENC CA P 11/2/2017 12/2/2017 46.383 4.732 (1) 3.000 000 ANDINENC CA P 11/2/2/2017 12/2/2017 20/3/201 20/3/201 20/3/201 20/3/201 20/3/201 20/3/201 20	10,000 000 AT&T I	NC 5 350 11-01-66	P	10/25/2017	10/30/2017	249,477	250,000	(523)
5.000 000 BRUNSWICK COPP P 9/11/2012 10/25/017 284,783 244,493 20,284 1.000 000 CAALSYTEMS INC P 12/15/017 13,215 13,000 33 33,100 3 1.000 000 CAALSYTEMS INC P 12/27/017 12/27/017 13,235 13,000 3 1.000 000 DENGERAGE CON COPP P 12/27/017 12/26/017 13,625 14,000 4,323 5.000 000 COTAS MEDICINE INC P 11/2/2017 12/27/017 30,921 44,000 6,521 5.000 000 FAULSY MEDICAGES INC P 11/2/2017 11/2/2017 45,383 47,320 (83,97) 1.000 000 GOND WING CLA P 11/2/2017 11/2/2017 123,565 115,000 8,866 5.000 000 ANKE IN CRUP RUCS ATP P 11/2/2017 11/2/2017 123,565 133 5.000 000 ANKE IN CRUP RUCS ATP P 11/2/2017 11/2/2017 31,11 24,000 1,372 1.000 000 ANKE IN CLA P 11/2/2017 11/2/2017 31,31 24,000 1,	5,000 000 ATENT	DSA	Р	11/10/2017	11/10/2017	45,668	45,000	668
L000 00D CASA SYSTEMS MC P 12/15/2012 13,954 13,000 954 L000 00D CATALENT INC P 92/7/2012 39,120 39,100 3 L000 00D DEMAL THEMAFUNCS INC P 12/2/2017 30,202 26,000 4,204 L000 00D DEMAL THEMAFUNCS INC P 12/2/2017 30,272 26,000 4,204 L000 00D DEMAL THEMAFUNCS INC P 12/2/2017 30,272 26,000 6,3157 2,000 00D CASCOUT TECHNOLOGIES INC P 11/2/2017 130,2740 4,999 5,000 6,000 6,221 1,000 00D GW PRASMACEUTICALS PLADR P 11/2/2017 131,381 41,320 (937) 1,000 00D GW PRASMACEUTICALS PLADR P 12/2/2017 13/2/2017 247,083 21,500 6,285 2,500 00D JANEW UTCOLLAS PLADR P 11/2/2017 11/2/2017 247,083 24,500 1,320 2,000 00 ON VAHADLINGING CLA P 11/2/2017 11/2/2017 143,513 10,500 1321 1,000 00D JANE UTCOLLAS PLADR P 11/2/2017	1,000 000 BANDV	VIDTH INC CL A	P	11/10/2017	11/10/2017	21,454	20,000	1,454
L000 DOD CATALENTINC P 9/27/2017 32,03 32,000 3 L000 DOD CATALENTINC P 12/8/2017 12/8/1017 22,041 18,000 4,204 L000 DOD CATALENTINC P 12/8/2017 12/8/2017 32,827 18,000 (4,204 5,000 DOD CATAS MEDICINE INC P 12/2/2017 12/8/2017 50,931 44,000 (5,911 3,000 DOT FOLTAS MEDICINE INC P 11/8/2017 11/9/2017 46,183 44,000 (5,911 1,000 DOG GODY INC CLA P 11/8/2017 11/8/2017 12/8 115,000 85,655 5,000 DOG LONT SEASONG TOC LA AND P 11/2/2017 11/2/8/1017 12/8 115,000 82,562 13 5,000 DOG LANGES AVC ROLP INC CL A P 11/2/8/1017 11/2/8/1017 13/11 24,000 1,372 1,000 DOD INCRAS AVC ROLP INC CL A P 10/19/2017 11/18/2017 13/11 24,000 1,372 1,000 DOD INCRAS AVC ROLP INC CL A P 10/18/2017 11/18/2017 13/11 24,000	5,000 000 BRUNS	WICK CORP	P	9/11/2017	10/25/2017	284,787	264,493	20,294
1.000.000 0.000.000 1.2/8/2017 1.2/8/2017 2.2/204 1.6,000 4.2/24 1.000.000 0.000.000 CPSCAR.CON CONP P 3//22/2017 3//28/2017 32.887 2.2.000 4.220 2.000.000 CRESCOUT TECHNOLOGIES INC P 10//27/017 11//27/017 4.999 5.000 (0.1) 3.000 OF CRESCOUT TECHNOLOGIES INC P 11//27/017 11//27/017 4.999 5.000 (0.1) 3.000 OG CRESCOUT TECHNOLOGIES INC P 11//27/017 11//27/017 4.6,183 4.7,320 (937) 1.000 DOG CRESCOUT TECHNOLOGIES INC P 11//27/017 11//28/017 12//5/017 11//5/017 11//5/017 11//5/017 10.000 38 5.000 OOL/UNA HOLOINRS INC P 11//6/2017 11//5/017 4.1,372 40,000 1,372 1.000 DOM MARCOB INC CL A P 10//27/017 11//37/017 1,318 4.000 1,312 1.0000 DOM MARCOB INC CL A P 10//27/017 11//37/017 1,318 4.500 (5.112)	1,000 000 CASA S	YSTEMS INC	P	12/15/2017	12/15/2017	13,954	13,000	954
1_00000 P 9/07/0217 9/07/0217 9.08.27 2.0000 4.27 S,000000 CEMTAS P 1.1/5/017 1.1/6/017 1.06.901 (3.377) S,000000 CEMTAS P 1.1/2/1017 1.1/2/1017 5.9.91 5.000 (1) S,000000 CEMTAS P 1.1/2/1017 1.1/2/1017 4.5.83 41.3.600 (8.5.91) 1,000000 COMDAGO MANC LA P 1.1/2/2/017 1.2/3/017 1.2.8.68 1.1.5.000 (2.9.9.9) 1.0.0000 (9.9.9.9) 1.0.00000 (9.9.9.9) 1.0.00000 (9.9.9.9) 1.0.00000 (9.9.9.9) 1.0.00000 (9.9.9.9) 1.0.00000 (9.9.9.9) 1.0.00000 (9.9.9.9) 1.0.00000 (9.9.9.9.9) 1.0.00000 (9.9.9.9.9) 1.0.00000 (9.9.9.9.9.9) 1.0.00000 (9.9.9.9.9.9.9.9.9.9.9.9.9.9.9.9.9.9.9.	1,000 000 CATAL	ENTINC	Р	9/27/2017	9/27/2017	39,103	39,100	3
5 000 000 EUTAS MEDICIPIENC P 112/6/2017 112/6/2017 112/6/2017 112/6/2017 112/6/2017 112/6/2017 112/6/2017 112/6/2017 12/6/20	1,000 000 DENAL	I THERAPEUTICS INC	P	12/8/2017	12/8/2017	22,204	18,000	4,204
2,000 000 FORESCUT TECHNOLOGIES INC P 10/27/2017 10/27/2017 50,021 44,000 6,911 10,000 000 CAR SAGONS ID CLYMANING ADR P 11/4/2017 11/9/2017 4,999 5,000 (1) 1,000 000 GOAD VINC CLA P 12/4/2017 12/7/2017 123,866 115,000 8,866 5,000 000 HOULHANI LOKEY INC CLA P 11/2/2017 11/2/2017 255,630 195 5,000 000 HOULHANI LOKEY INC CLA P 11/2/2017 11/2/2017 255,639 195,000 397 5,000 000 HANES INC ROUP FILOSS ITD P 11/1/2/017 11/1/2017 14,55,039 195,000 397 1,000 000 NARODOB INC CLA P 10/1/5/2017 11/1/2017 14,55,039 195,000 13,77 1,000 000 NAROVA TICENAFU TICK AG P 10/2/2017 19/27/2017 16,654 15,000 654 2,000 000 NEW SIGNA CRUSS NA P 11/1/2/2017 116,721 15,501 113,720 1,000 000 NAROVA TIERNAFUTICS AG P 11/1/2/2017 116,515 162,500 162,	1,000 000 DESPEC	GAR COM CORP	Р	9/20/2017	9/20/2017	30,827	26,000	4,827
2.000 000 FORSECUT TECHNOLOGUS MC P 11/8/2017 10/9/2017 50.021 44.000 6,921 S00 000 FOUR SEXONS ED CAYMAN INC ADR P 11/8/2017 11/9/2017 46.333 47.320 (8) 1.000 000 GONADOY INC C.A P 11/2/2017 12/7/0017 207.035 210.000 (8,866 5.000 000 LIVIAN LOLINICS INC P 11/2/2017 11/2/8/2017 255.633 255.625 13 5.000 000 LIVIAN LOLINICS INC P 11/1/2/017 11/1/6/2017 41.037 40.35.00 13.37 1.000 000 MARES RW GROUP FLIDES LTD P 11/1/6/2017 10/1/3/2017 41.37 40.000 1.377 1.000 000 MARCOB RUC CLA P 10/1/3/2017 10/1/3/2017 81.38 8.500 (1312) 1.000 000 MARA RESULACES A P 12/2/2017 10/27/2017 16.65.4 16.00 (6.88) 2.000 000 NARA RESULACES A P 11/1/5/2017 11/1/2017 116.55.01 16.15.00 41.35.00 1.000 000 NARA RESULACES A P 11/1/5/2017 11/27/2017 <td>5,000 000 EDITAS</td> <td>MEDICINE INC</td> <td>Ρ</td> <td>12/5/2017</td> <td>12/6/2017</td> <td>126,803</td> <td>130,000</td> <td>(3,197)</td>	5,000 000 EDITAS	MEDICINE INC	Ρ	12/5/2017	12/6/2017	126,803	130,000	(3,197)
500 000 FOUR SEASONS ED CAMMAN INC ADR P 11/4/2017 11/9/2017 46,999 5,000 [1] 1,000 000 GODADDY INC CLA P 11/4/2017 12/5/2017 12/3/2017 123,863 47,320 (937) 1,000 000 GODADH INC CLA P 11/2/2017 123,864 115,000 (2,965) 5,000 000 INCLINAN INCENY INC CLA P 11/2/2017 11/2/2017 155,039 195,000 39 5,000 000 IAMEY INCRAY INC CLA P 11/4/2017 11/16/2017 31,111 42,000 1,372 1,000 000 MARIVA TECHNEXY INC A P 11/16/2017 13,111 42,000 7,111 1,000 000 MARIVA TECHNEXY INC A P 12/15/2017 11/16/2017 31,111 42,000 7,111 1,000 000 MARIVA TECHNEXY INC A P 12/15/2017 11/17/2017 16,654 15,000 634 2,000 000 000 MARIVA TECHNEXY INC A P 11/15/2017 11/17/2017 16,554 15,000 643 2,000 000 000 MARIVA TECHNEXY INC A P 11/15/2017 12/15/2017 15,500 <td>2,000 000 FORES</td> <td>COUT TECHNOLOGIES INC</td> <td>P</td> <td>10/27/2017</td> <td>10/27/2017</td> <td>50,921</td> <td>44,000</td> <td></td>	2,000 000 FORES	COUT TECHNOLOGIES INC	P	10/27/2017	10/27/2017	50,921	44,000	
1.000 000 GOADOY MCCLA P 12///017 12/5/017 46,383 47,320 (937) 1.000 000 GW PHABMACEUTICALS PLADR P 11/7/2017 127/5/2017 207,035 21,0000 (2,456) 2.500 000 HOULIHAN LOKEY INC CLA P 11/3/2/2017 11/16/2017 155,638 255,635 13 5.000 000 HOULIHAN LOKEY INC CLA P 11/3/2/2017 11/16/2017 41,332 40,000 1,372 1.000 000 NANGOB INC CLA P 11/16/2017 11/16/2017 31,111 24,0000 7,111 1.000 000 NANGOB INC CLA P 10/27/2017 10/27/2017 13,511 14,0000 7,111 1.000 000 NANGOB INC CLA P 10/27/2017 10/27/2017 16,654 16,000 654 2.000 000 NANWEGIAM CRUSE LINE HOLDININGS P 11/15/2017 11/17/2017 10,657 15,700 367 5.000 000 ONENAR HINGLAR MERCULA A P 11/15/2017 11/16/2017 116,511 116,50 44 5.000 000 ONENAR HINGLAR MERCULA A P 11/17/2017 112/17/2017 <td>500 000 FOUR 5</td> <td>EASONS ED CAYMAN INC ADR</td> <td>P</td> <td>11/8/2017</td> <td>11/9/2017</td> <td>4,999</td> <td>5,000</td> <td></td>	500 000 FOUR 5	EASONS ED CAYMAN INC ADR	P	11/8/2017	11/9/2017	4,999	5,000	
1.000 000 GW PHARMACEUTICALS PIC ADR P 12/7/2017 12/7/2017 123,866 115,000 8,866 5,000 000 UNLIHAN LOKY INC CL A P 10/75/2017 11/28/2017 125,600 (2,865) 5,000 000 JAMES RW SROUP HLOGS LTD P 11/16/2017 11/16/2017 13,812 40,000 133 5,000 000 JAMES RW SROUP HLOGS LTD P 11/16/2017 11/16/2017 31,111 24,000 7,111 1,000 000 NAGGOB INC CL A P 10/13/2017 10/13/2017 8,188 8,500 (12) 1,000 000 NAGGOB INC CL A P 10/13/2017 10/12/12017 10,6511 106,700 (189) 1,000 000 NASSET MANAGEMENT PLC P 11/15/2017 11/12/2017 115,700 575 5,000 000 CHONE MANAGEMENT PLC P 11/15/2017 11/27/2017 115,541 115,500 41 5,000 000 CHONE MANAGEMENT PLC P 11/15/2017 11/27/2017 115,541 115,500 41 5,000 000 CHONE MEGNAL HLOS INC P 11/17/2017 112/2017 115,541 115	1,000 000 GODAD	DDY INC CL A	P	12/4/2017	12/5/2017	46,383	47,320	
5,000 000 HOULIHAN LOKEY INC CLA P 10/25/2017 10/26/2017 207,035 210,000 (2,665) 2,500 000 TOVA HOULINGS INC P 11/28/2017 11/28/2017 15,039 195,000 33 5,000 000 JUANPU TECHINGST INC A P 11/16/2017 11/16/2017 41,372 40,000 3,372 1,000 000 NAREW CLA P 10/19/2017 10/19/2017 41,372 40,000 7,111 1,000 000 NAREW TECHINGST INC A P 10/19/2017 10/27/2017 16,554 15,000 654 2,000 000 NARA RESURCES SA P 10/27/2017 10/27/2017 16,557 15,700 657 1,000 000 NASA RESURCES SA P 11/15/2017 11/27/2017 15,5541 115,500 41 1,000 000 NEWAR MILLIGS INC P 12/13/2017 11/2/2017 11/2/2017 11/2/2017 11/2/2017 11/2/2017 11/2/2017 11/2/2017 11/2/2017 11/2/2017 11/2/2017 11/2/2017 11/2/2017 11/2/2017 11/2/2017 11/2/2017 11/2/2017 11/2/2017 1	1,000 000 GW PH	ARMACEUTICALS PLC ADR	Р	12/7/2017	12/7/2017	123,866		
2,500 000 IQVIA HOLDINGS INC P 11/28/2017 11/28/2017 255,638 255,625 13 5,000 000 JAMES INX GROUP HLOGS LTD P 11/46/2017 11/16/2017 155,039 195,000 39 5,000 000 JAMES INX GROUP HLOGS (TD P 11/16/2017 10/15/2017 31,111 24,0000 7,111 1,000 000 ANRIVA THEAPEUTICS AG P 9/20/2017 9/20/2017 16,654 15,000 11/15/2017 10/15/2017 16,654 15,000 654 1,000 000 NORWEGIAN CRUISE LINE ENCLOHINGS P 11/15/2017 11/17/2017 108,511 108,700 (189) 1,000 000 NORWEGIAN CRUISE LINE ENCLOHINGS P 11/15/2017 11/17/2017 10,657 15,700 647 2,000 000 ONOR MESINC CLASINC P 12/14/2017 11/2/2017 115,541 116,250 41 5,000 000 ONOR MESINC ENC CLA P 10/14/2017 11/16/2017 11/16/2017 11/17/2017 115,540 11 5.00 42 5,000 000 ONOR NEGINEERED CARBONS S A P 10/14/2017 11/16/2017	5,000 000 HOULI	HAN LOKEY INC CL A	Р	10/25/2017	10/26/2017			-
5,000 000 JAMÉS RIV GROUP HLGGS LTD P 11/8/2017 11/10/2017 195,039 195,000 39 5,000 000 JANEY TECHNEY IN CA P 11/16/2017 11/16/2017 41,372 40,000 1,327 1,000 000 MARDINE TECHNEY IN CA P 10/0/2017 9/10/2017 8,188 8,500 (312) 1,000 000 NEXA RESURCES SA P 10/27/2017 10/27/2017 16,654 16,000 654 2,000 000 NEXA RESURCES CA P 11/15/2017 11/17/2017 106,651 15,700 367 1,000 000 000 ASSET MANAGEMENT PLC P 11/15/2017 11/27/2017 11/27/2017 15,541 115,500 41 5,000 000 ORION ENGINEERED CARBONS S A P 11/17/2017 11/8/2017 11/6/201 116,251 116,250 41 5,000 000 ORION ENGINEERED CARBONS S A P 11/17/2017 11/8/2017 11/8/2017 11/8/2017 11/8/2017 11/2/2017 11/2/2017 11/2/2017 11/2/2017 11/2/2017 11/2/2017 11/2/2017 11/2/2017 11/2/2017 11/2/2017 1	2,500 000 IQVIA 1	OLDINGS INC	Р	11/28/2017	11/28/2017	255,638		
S,000 D00 JIANPU TECHNIGY INC A P 11/16/2017 11/16/2017 31,11 24,000 1,372 1,000 D00 MONGOBD INC CL A P 10/13/2017 31,11 24,000 7,111 1,000 D00 MONGOBD INC CL A P 9/20/2017 37/21/2017 16,654 16,000 654 1,000 D00 NEXA RESOURCES SA P 10/27/2017 11/15/2017 11/15/2017 16,654 16,000 654 1,000 D00 NEXA RESOURCES SA P 11/15/2017 11/17/2017 16,657 15,700 657 5,000 D00 ONEMARIN TELCE CHE DILLINGS P 11/15/2017 11/27/2017 115,521 15,700 657 5,000 D00 ONEMAN HILDES INC P 11/15/2017 11/2/2017 115,521 115,520 641 5,000 D00 PL GROUP NEXCELARED CARBON S A P 11/17/2017 11/2/2017 117,574 117,900 974 5,000 D00 PL GROUP NUCL CL A P 10/18/2017 10/18/2017 10/18/2017 117,974 117,900 974 5,000 D00 PL GROUP NUCL CLA P 10/18/2017 <td< td=""><td>5,000 000 JAMES</td><td>RIV GROUP HLDGS LTD</td><td>P</td><td>11/8/2017</td><td>11/10/2017</td><td></td><td></td><td>39</td></td<>	5,000 000 JAMES	RIV GROUP HLDGS LTD	P	11/8/2017	11/10/2017			39
1,000 000 MONGODB INC CLA P 10/19/2017 10/19/2017 31,111 24,000 7,111 1,000 000 NABRIVA THERAPEUTICS AG P 9/20/2017 8/18/2017 8,188 8,500 (152) 1,000 000 NARK RESDURCES SA P 10/27/2017 10/27/2017 106,551 106,000 654 2,000 000 NORWEGIAN CRUISE LINE HOLDINGS P 11/15/2017 11/27/2017 10,607 15,700 367 5,000 000 ORINA NEMIA HUDS BIN C P 12/15/2017 11/27/2017 115,541 115,500 41 5,000 000 ORION ENGINEEERE CARBONS S A P 11/17/2017 116/27017 117,974 117,000 974 5,000 000 OP QGROUP HOLDINGS INC P 9/28/2017 10/18/2017 10/18/2017 10/18/2017 10/18/2017 10/38/2017 37,400 10,931 2,500 000 QUDIAN INC ADR P 10/18/2017 10/18/2017 80,781 60,000 14,908 1,000 000 RIVTHEEN ENERGY GROUP INCCLA P 10/18/2017 10/18/2017 11/27/2017 13,020 10,031 2	5,000 000 JIANPU	TECHNLGY INC A	P		11/16/2017			
1,000 000 NABRIVA THERAPEUTICS AG P 9/20/2017 9/20/2017 10/27/2017 16,654 16,000 654 2,000 000 NEXA RESOLURCES SA P 10/27/2017 10/27/2017 10,654 16,000 654 2,000 000 NEXA RESOLURCES SA P 11/15/2017 11/27/2017 10,657 15,700 367 1,000 000 ON MASSET MANAGEMENT FLC P 12/13/2017 12/14/2017 15,561 116,550 41 5,000 000 ONEMAIN HLDOS INC P 12/12/2017 11/2/2017 116,251 116,550 41 5,000 000 ORIC NEINERED CARBONS SA P 11/12/2017 11/2/2017 116,271 116,250 41 5,000 000 ORIC NEINERED CARBONS SA P 10/18/2017 10/20/2017 117,374 117,000 974 5,000 000 PATTERN ENERGY GROUP INC CL A P 10/18/2017 10/18/2017 80,781 60,000 14,908 1,000 000 REV GROUP, INC P 10/18/2017 10/18/2017 7,250 1,327 1,000 000 REV GROUP, INC P 10/18/2017 10/18/2017	-		Ρ			-		
1,000 000 NEXA RESOURCES SA P 10/27/2017 10/27/2017 15,654 16,000 654 2,000 000 NORWEGIAN CRUSE LINE HOLDINGS P 11/15/2017 11/17/2017 108,511 108,700 1199 1,000 000 NASSET MANARGEMENT PLC P 12/13/2017 12/14/2017 125,599 128,750 (2,751) 5,000 000 ONEMAIN HLDGS INC P 11/17/2017 11/8/2017 115,541 115,500 41 5,000 000 ORICIN ENGINEERED CARBONS S A P 11/17/2017 11/8/2017 116,291 116,250 41 5,000 000 PATEENN ENERGY GROUP INC CL P 10/16/2017 10/20/2017 117,374 117,000 974 5,000 000 PA GROUP INC LA P 10/18/2017 10/18/2017 80,781 60,000 12,980 1,000 000 REV GROUP, INC ABR P 10/18/2017 10/18/2017 74,936 60,000 12,980 1,000 000 REV GROUP, INC C P 10/2/2017 10/21/2017 8,377 7,250 1,327 1,000 000 REV GROUP, INC C P 10/3/2017 10/20/20			Р					
2,000 000 NORWEGIAN CRUISE LINE HOLDINGS P 11/15/2017 11/17/2017 108,511 108,700 (189) 1,000 000 0M ASSET MANAGEMENT PLC P 11/15/2017 11/27/2017 15,067 15,700 367 5,000 000 ORMANI HLOGS INC P 12/13/2017 11/25/2017 115,541 115,500 41 5,000 000 ORION ENGINEERED CARBONS S A P 11/17/2017 11/8/2017 116,621 116,250 41 5,000 000 QRION ENGINEERED CARBONS S A P 10/18/2017 10/5/2017 115,74 117,700 974 5,000 000 QLGROUP HOLDINGS INC P 9/29/2017 10/5/2017 80,781 60,000 14,908 2,500 000 QLDIAN INC ADR P 10/18/2017 10/18/2017 74,908 60,000 14,908 1,000 000 REV GROUP, INC P 10/2/2017 10/6/2017 7,250 31 1,000 000 RIVE MINH PHARMACEUTICALS INC P 10/2/2017 10/20/2017 35,77 7,250 31,227 1,000 000 RIVE MINE ADR P 9/28/2017 9/27/2017 35,73 </td <td>1,000 000 NEXA F</td> <td>RESOURCES SA</td> <td>Р</td> <td>10/27/2017</td> <td></td> <td></td> <td></td> <td></td>	1,000 000 NEXA F	RESOURCES SA	Р	10/27/2017				
1,000 000 OM ASSET MANAGEMENT PLC P 11/15/2017 11/27/2017 15,000 15,700 367 5,000 000 ONEMAIN HLOGS INC P 12/13/2017 12/14/2017 125,999 128,750 (1,751) 5,000 000 ORION ENGINEERED CARBONS SA P 12/4/2017 11/15/2017 115,541 115,550 41 5,000 000 PATEEN ENERGY GROUP INC CLA P 10/18/2017 10/18/2017 117,974 117,000 974 5,000 000 PQ GROUP HOLDINGS INC P 9/25/2017 10/18/2017 80,781 60,000 20,781 2,500 000 QUDIAN INC ADR P 10/18/2017 10/18/2017 74,986 60,000 14,968 1,000 000 REV GROUP, INC P 10/13/2017 10/13/2017 27,253 27,250 3 1,000 000 REV GROUP, INC P 10/20/2017 10/6/2017 25,533 14,000 12,533 1,000 000 REV GROUP, INC P 9/28/2017 9/29/2017 25,533 14,000 12,533 1,000 000 REV EDUCATION CA A P 9/27/2017 13,550 23,	2,000 000 NORW	EGIAN CRUISE LINE HOLDINGS	ρ					
5,000 000 ONEMAIN HLDGS INC P 12/13/2017 12/14/2017 125,999 128,750 (2,751) 5,000 000 ONEON ENGINEERED CARBONS S A P 11//7/2017 11/5/2017 115,541 115,500 41 5,000 000 ORION ENGINEERED CARBONS S A P 11/7/2017 11/7/2017 116,291 116,250 41 5,000 000 PATEREN ENERGY GROUP INC LA P 10/18/2017 10/20/2017 87,542 87,500 42 2,500 000 QUDIAN INC ADR P 10/18/2017 10/18/2017 80,781 60,000 20,781 2,500 000 QUDIAN INC ADR P 10/18/2017 10/18/2017 74,908 60,000 20,781 1,000 000 REV GRUP, INC P 10/18/2017 10/18/2017 74,908 60,000 10,031 1,000 000 REV GRUP, INC P 10/18/2017 10/18/2017 27,031 17,000 10,031 1,000 000 REV GRUP, INC P 9/27/2017 10/20/2017 8,577 7,250 3,812 1,000 000 SALPOINT TECHNOLOGIES HOLDING P 11/17/2017 45,584	1,000 000 OM AS	SET MANAGEMENT PLC	Р					
5,000 000 ORION ENGINEERED CARBONS S A P 12/4/2017 11/5/2017 115,541 115,500 41 5,000 000 ORION ENGINEERED CARBONS S A P 11/7/2017 11/9/2017 116,211 116,250 41 5,000 000 PATTERN ENERGY GROUP INC CL A P 10/18/2017 10/20/2017 117,974 117,000 974 5,000 000 QUDIAN INC ADR P 10/18/2017 10/18/2017 80,761 60,000 20,761 2,500 000 QUDIAN INC ADR P 10/18/2017 10/18/2017 74,908 60,000 14,908 1,000 000 RVF GROUP INC CLA P 10/18/2017 10/13/2017 72,553 27,250 3 1,000 000 QUDIAN INC ADR P 10/20/2017 10/13/2017 7,250 1,327 1,000 000 RVF GROUP INC CLA P 10/2/2017 10/2/2017 8,577 7,250 1,327 1,000 000 RVF GROUP INC CLA P 9/28/2017 9/28/2017 8,597 7,250 3,321 1,000 000 RVF HIM PHARMACEUTCALS INC P 9/27/2017 9/28/2017 13,062 9,250 3,8312 5,000 000 SCAL INC COM CLA P 9/2	5,000 000 ONEM	AIN HLOGS INC	P					
5,000 000 PATTERN ENERGY GROUP INC CL A P 10/18/2017 10/20/2017 117,974 117,000 974 5,000 000 PQ GROUP HOLDINGS INC P 9/29/2017 10/5/2017 87,542 87,500 42 2,500 000 QUDIAN INC ADR P 10/18/2017 10/18/2017 74,908 660,000 14,908 1,000 000 REV GROUP, INC P 10/18/2017 10/18/2017 27,533 27,250 3 1,000 000 REV GROUP, INC P 10/15/2017 10/6/2017 27,031 17,000 10,031 500 000 RISE EDUCATION CAYMAN LTD P 10/20/2017 9/27/2017 26,533 14,000 12,533 500 000 RVE EDUCATION INC COM CL A P 9/27/2017 9/27/2017 13,062 9,250 3,812 5,000 000 SEA LIMITED ADR P 11/17/2017 11/17/2017 13,062 9,250 3,812 5,000 000 SEA LIMITED ADR P 10/20/2017 11/17/2017 3,881 3,750 84 2,500 000 SENDGRID INC P 11/15/2017 11/15/2017 45,368	5,000 000 ORION	ENGINEERED CARBONS S A	Р					
5,000 000 PATTERN ENERGY GROUP INC CL A P 10/18/2017 10/20/2017 117,974 117,000 974 5,000 000 PQ GROUP HOLDINGS INC P 9/29/2017 10/5/2017 87,542 87,500 42 2,500 000 QUDIAN INC ADR P 10/18/2017 10/18/2017 74,908 660,000 14,908 1,000 000 REV GROUP, INC P 10/18/2017 10/18/2017 27,533 27,250 3 1,000 000 REV GROUP, INC P 10/15/2017 10/6/2017 27,031 17,000 10,031 500 000 RISE EDUCATION CAYMAN LTD P 10/20/2017 9/27/2017 26,533 14,000 12,533 500 000 RVE EDUCATION INC COM CL A P 9/27/2017 9/27/2017 13,062 9,250 3,812 5,000 000 SEA LIMITED ADR P 11/17/2017 11/17/2017 13,062 9,250 3,812 5,000 000 SEA LIMITED ADR P 10/20/2017 11/17/2017 3,881 3,750 84 2,500 000 SENDGRID INC P 11/15/2017 11/15/2017 45,368	5,000 000 ORION	ENGINEERED CARBONS S A	P	11/7/2017	11/8/2017	116,291	116,250	41
2,500 000 QUDIAN INC ADR P 10/18/2017 10/18/2017 80,781 60,000 20,781 2,500 000 QUDIAN INC ADR P 10/18/2017 10/18/2017 74,908 60,000 14,908 1,000 000 REV GROUP, INC P 10/13/2017 10/13/2017 27,253 27,250 3 1,000 000 REV GROUP, INC P 10/5/2017 10/6/2017 27,031 17,000 10,031 500 000 RISE EDUCATION CAYMAN LTD P 10/20/2017 10/22/2017 26,533 14,000 12,533 500 000 SAL EDUCATION INC P 9/28/2017 9/27/2017 13,062 9,250 3,812 5,000 005 SAL LIMITED ADR P 11/17/2017 11/17/2017 69,854 60,000 9,854 2,500 000 SEA LIMITED ADR P 10/20/2017 10/20/2017 3,981 3,750 841 2,500 000 SEA LIMITED ADR P 11/15/2017 11/15/2017 45,348 40,000 5,348 10,000 000 SENDGRID INC P 11/15/2017 11/15/2017 26,644 250,000	5,000 000 PATTER	N ENERGY GROUP INC CL A	P	10/18/2017	10/20/2017	117,974	117,000	974
2,500 000 QUDIAN INC ADRP10/18/201710/18/201774,90866,00014,9081,000 000 REV GROUP, INCP10/13/201710/13/201727,25327,25031,000 000 RIVTHM PHARMACEUTICALS INCP10/5/201710/6/201727,03117,00010,031500 000 RISE EDUCATION CAYMAN LTDP10/5/201710/20/20178,5777,2501,3271,000 000 ROKU INC COM CLAP9/28/20179/29/201726,53314,00012,533500 000 RNE EDUCATION INCP9/27/201711/17/201713,0629,2503,8125,000 000 SALIPOINT TECHNOLOGIES HOLDINGP11/17/201711/17/20173,9813,750231250 000 SEA LIMITED ADRP10/20/201710/20/20173,8843,750842,500 000 SENDGRID INCP11/15/201711/15/201745,72040,0005,7202,500 000 SENDGRID INCP11/15/201711/15/201745,34840,0005,34810,000 000 SIRIT REALTY CAP 6 000 12-31-19P9/26/20179/29/201720,64420,6093410,000 000 SIRIBIN MEDIA COMPANY CLASS AP11/16/201711/30/2017248,588250,000(1,412)1,000 000 SFORDS HOLDING CORPP11/16/201711/30/2017248,588260,000(1,412)1,000 000 DEDTAS MEDICINE INCP3/2/20169/13/201716,02824,245(6,397)3,200 000 TENCENT HLDGS LTD UNSPON ADRP6/6/20168/14/2017	5,000 000 PQ GR	OUP HOLDINGS INC	P	9/29/2017	10/5/2017	87,542	87,500	42
2,500 000 QUDIAN INC ADR P 10/18/2017 10/18/2017 74,908 660,000 14,908 1,000 000 REV GROUP, INC P 10/13/2017 10/13/2017 27,253 27,250 3 1,000 000 REV GROUP, INC P 10/5/2017 10/6/2017 27,031 17,000 10,031 500 000 RISE EDUCATION CAYMAN LTD P 10/20/2017 9/29/2017 26,533 14,000 12,533 500 000 RNE EDUCATION NAC CLA P 9/27/2017 11/17/2017 13,062 9,250 3,812 5,000 000 SALLPOINT TECHNOLOGIES HOLDING P 11/17/2017 11/17/2017 69,854 66,000 9,854 2,500 000 SEA LIMITED ADR P 10/20/2017 10/20/2017 3,981 3,750 84 2,500 000 SENDGRID INC P 11/15/2017 11/15/2017 45,348 40,000 5,348 10,000 000 SIRIF RALTY CAP 6 000 12-31-19 P 9/26/2017 11/30/2017 20,644 20,600 34 10,000 000 SIRIF RALTY CAP 6 000 12-31-19 P 11/16/2017 11/30/2017 248,588 250,000 6,1421 1,000 000 D SIRIF RALTY CAP 6 000	2,500 000 QUDIA	N INC ADR	P	10/18/2017	10/18/2017	80,781	60,000	20,781
1,000 000 RHYTHM PHARMACEUTICALS INC P 10/5/2017 10/6/2017 27,031 17,000 10,031 500 000 RISE EDUCATION CAYMAN LTD P 10/20/2017 10/20/2017 8,577 7,250 1,327 1,000 000 ROKU INC COM CL A P 9/28/2017 9/29/2017 26,533 14,000 12,533 500 000 RW EDUCATION INC P 9/27/2017 11,020/2017 13,062 9,250 3,812 5,000 000 SAL LIMITED ADR P 10/20/2017 11/17/2017 69,854 60,000 9,854 250 000 SEA LIMITED ADR P 10/20/2017 10/20/2017 3,834 3,750 84 2,500 000 SENDGRID INC P 11/15/2017 11/15/2017 45,348 40,000 5,348 10,000 000 SENDGRID INC P 11/15/2017 11/15/2017 45,348 40,000 5,348 10,000 000 SENDGRID INC P 11/15/2017 11/15/2017 45,348 40,000 5,348 10,000 000 SENDGRID INC P 11/15/2017 11/15/2017 25,0004 250,000 1,412 1,412 10,000 000 TKIBUNE MEDIA COMPANY CLASS A <	2,500 000 QUDIA	N INC ADR	P	10/18/2017	10/18/2017	74,908		
500 000 RISE EDUCATION CAYMAN LTD P 10/20/2017 10/20/2017 8,577 7,250 1,327 1,000 000 ROKU INC COM CLA P 9/28/2017 9/29/2017 26,533 14,000 12,533 500 000 RYB EDUCATION INC P 9/27/2017 9/27/2017 13,062 9,250 3,812 5,000 000 SAILPOINT TECHNOLOGIES HOLDING P 11/17/2017 11/17/2017 69,854 60,000 9,854 2,500 000 SEA LIMITED ADR P 10/20/2017 10/20/2017 3,981 3,750 231 2,500 000 SENDGRID INC P 10/20/2017 10/20/2017 45,348 40,000 5,720 2,500 000 SENDGRID INC P 11/15/2017 11/15/2017 45,348 40,000 5,348 10,000 000 SPINIT REALTY CAP 6 000 12-31-19 P 9/26/2017 9/29/2017 20,644 20,609 34 10,000 000 TRIBUNE MEDIA COMPANY CLASS A P 11/15/2017 11/30/2017 248,588 250,000 (1,412) 1,000 000 US FOODS HOLDING CORP P 11/29/2017 11/30/2017	1,000 000 REV GF	ROUP, INC	P	10/13/2017	10/13/2017	27,253		
500 000 RISE EDUCATION CAYMAN LTD P 10/20/2017 10/20/2017 8,577 7,250 1,327 1,000 000 ROKU INC COM CLA P 9/28/2017 9/29/2017 26,533 14,000 12,533 5,000 000 SAILPOINT TECHNOLOGIES HOLDING P 9/27/2017 9/27/2017 13,062 9,250 3,812 5,000 000 SAILPOINT TECHNOLOGIES HOLDING P 11/17/2017 11/17/2017 69,854 60,000 9,854 250 000 SEA LIMITED ADR P 10/20/2017 10/20/2017 3,981 3,750 231 2,500 000 SENDGRID INC P 10/20/2017 11/15/2017 45,348 40,000 5,720 2,500 000 SENDGRID INC P 11/15/2017 11/15/2017 45,348 40,000 5,348 10,000 000 SPIRIT REALTY CAP 6 000 12-31-19 P 9/26/2017 9/29/2017 20,644 20,609 34 10,000 000 TRIBUNE MEDIA COMPANY CLASS A P 11/15/2017 11/30/2017 248,588 250,000 6,142 1,000 000 US FOODS HOLDING CORP P 11/29/2017 11/3	1,000 000 RHYTH	M PHARMACEUTICALS INC	P	10/5/2017	10/6/2017	27,031	17,000	10,031
1,000 000 ROKU INC COM CLA P 9/28/2017 9/29/2017 26,533 14,000 12,533 500 000 RYB EDUCATION INC P 9/27/2017 9/27/2017 13,062 9,250 3,812 5,000 000 SAILPOINT TECHNOLOGIES HOLDING P 11/17/2017 11/17/2017 69,854 60,000 9,854 2,500 000 SEA LIMITED ADR P 10/20/2017 10/20/2017 3,814 3,750 84 2,500 000 SEA LIMITED ADR P 10/20/2017 10/20/2017 3,834 3,750 84 2,500 000 SENDGRID INC P 11/15/2017 11/15/2017 45,348 40,000 5,348 10,000 000 SENDGRID INC P 11/15/2017 11/15/2017 45,348 40,000 5,348 10,000 000 SENDGRID INC P 11/15/2017 11/15/2017 250,004 250,000 94 10,000 000 TRIBUNE MEDIA COMPANY CLASS A P 11/30/2017 11/30/2017 20,644 20,609 34 10,000 000 TWO HARBORS INVSTMNT C 7 25%-C P 11/30/2017 11/30/2017 248,588 250,000 (1,412) 1,000 000 US FOODS HOLDING CORP P<	500 000 RISE E	DUCATION CAYMAN LTD	P	10/20/2017	10/20/2017	8,577		
500 000 RYB EDUCATION INC P 9/27/2017 9/27/2017 13,062 9,250 3,812 5,000 000 SAILPOINT TECHNOLOGIES HOLDING P 11/17/2017 11/17/2017 69,854 60,000 9,854 250 000 SEA LIMITED ADR P 10/20/2017 10/20/2017 3,981 3,750 231 250 000 SEA LIMITED ADR P 10/20/2017 10/20/2017 3,834 3,750 84 2,500 000 SENDGRID INC P 11/15/2017 11/15/2017 45,348 40,000 5,348 10,000 000 SENDGRID INC P 11/15/2017 11/15/2017 250,094 250,000 94 10,000 000 SENDGRID INC P 11/15/2017 11/30/2017 20,644 20,609 34 10,000 000 TWO HARBORS INVSTMNT C 7 25%-C P 11/16/2017 11/30/2017 248,588 250,000 (1,412) 1,500 000 TEND SHOLDING CORP P 11/29/2017 11/30/2017 28,818 28,300 518 1,500 000 TENCENT HILDGS LTD UNSPON ADR P 3/2/2016 9/13/2017 16,028	1,000 000 ROKU 1	INC COM CL A	Ρ	9/28/2017	9/29/2017	26,533		
5,000 000 SAILPOINT TECHNOLOGIES HOLDING P 11/17/2017 11/17/2017 69,854 66,000 9,854 250 000 SEA LIMITED ADR P 10/20/2017 10/20/2017 3,981 3,750 231 250 000 SEA LIMITED ADR P 10/20/2017 10/20/2017 3,834 3,750 84 2,500 000 SENDGRID INC P 11/15/2017 11/15/2017 45,720 40,000 5,348 10,000 000 SPIRIT REALTY CAP 6 000 12-31-19 P 9/26/2017 9/29/2017 20,644 20,609 34 10,000 000 SPIRIT REALTY CAP 6 000 12-31-19 P 9/26/2017 9/29/2017 20,644 20,609 34 10,000 000 TRIBUNE MEDIA COMPANY CLASS A P 11/30/2017 11/30/2017 20,644 20,609 34 10,000 000 TWO HARBORS INVSTMNT C 7 25%-C P 11/16/2017 11/30/2017 248,588 250,000 (1,412) 1,150 000 ZTO EXPRESS P 10/27/2016 9/13/2017 16,028 22,425 (6,397) 3,200 000 10,38,864 566,006 472,858 220,554 1,000,000 259,000 259,005 424,406 472,858 <t< td=""><td>500 000 RYB ED</td><td>UCATION INC</td><td>٩</td><td></td><td>9/27/2017</td><td></td><td></td><td></td></t<>	500 000 RYB ED	UCATION INC	٩		9/27/2017			
250 000 SEA LIMITED ADR P 10/20/2017 10/20/2017 3,981 3,750 231 250 000 SEA LIMITED ADR P 10/20/2017 10/20/2017 3,834 3,750 84 2,500 000 SENDGRID INC P 11/15/2017 11/15/2017 45,720 40,000 5,720 2,500 000 SENDGRID INC P 11/15/2017 11/15/2017 45,348 40,000 5,348 10,000 000 SPIRIT REALTY CAP 6 000 12-31-19 P 9/26/2017 9/29/2017 250,094 250,000 94 500 000 TRIBUNE MEDIA COMPANY CLASS A P 11/15/2017 11/30/2017 20,644 20,609 34 10,000 000 TWO HARBORS INVSTMNT C 7 25%-C P 11/16/2017 11/22/2017 248,588 250,000 (1,412) 1,000 000 US FOODS HOLDING CORP P 11/29/2017 11/30/2017 28,818 28,300 518 1,150 000 ZTO EXPRESS P 10/27/2016 9/13/2017 16,028 22,425 (6,397) 3,200 000 EDITAS MEDICINE INC P 3/2/2016 10/10/2017 77,793 102,199 (24,406) 12,500.000 TENCENT HLDGS LTD UNSPON ADR <td>5,000 000 SAILPO</td> <td>INT TECHNOLOGIES HOLDING</td> <td>Ρ</td> <td></td> <td></td> <td></td> <td></td> <td></td>	5,000 000 SAILPO	INT TECHNOLOGIES HOLDING	Ρ					
250 000 SEA LIMITED ADR P 10/20/2017 10/20/2017 3,834 3,750 84 2,500 000 SENDGRID INC P 11/15/2017 11/15/2017 45,720 40,000 5,720 2,500 000 SENDGRID INC P 11/15/2017 11/15/2017 45,348 40,000 5,348 10,000 000 SPIRIT REALTY CAP 6 000 12-31-19 P 9/26/2017 9/29/2017 250,004 250,000 34 500 000 TRIBUNE MEDIA COMPANY CLASS A P 11/130/2017 11/30/2017 20,644 20,609 34 10,000 000 UVO HARBORS INVSTMNT C 7 25%-C P 11/16/2017 11/22/2017 248,588 250,000 (1,412) 1,000 000 UVO HARBORS INVSTMNT C 7 25%-C P 11/29/2017 11/30/2017 28,818 28,300 518 1,000 000 UV FOODS HOLDING CORP P 10/27/2016 9/13/2017 16,028 22,425 (6,397) 3,200 000 EDITAS MEDICINE INC P 3/2/2016 10/10/2017 77,793 102,199 (24,406) 25,000 000 TENCENT HLDGS LTD UNSPON ADR P 6/6/2016 8/14/2017 1,038,864 566,006 472,858 1,200,000 000000000	250 000 SEA LIN	MITED ADR	P					
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